**Combining adjoint sensitivities and** ECCOv4-r4 air-sea fluxes to determine dominant drivers of North Atlantic subpolar gyre variability

... BONUS: implications for state estimation

Dan Amrhein National Center for Atmospheric Research

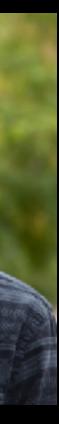
Dafydd Stephenson [C]Worthy

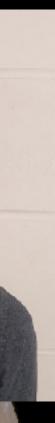
LuAnne Thompson University of Washington

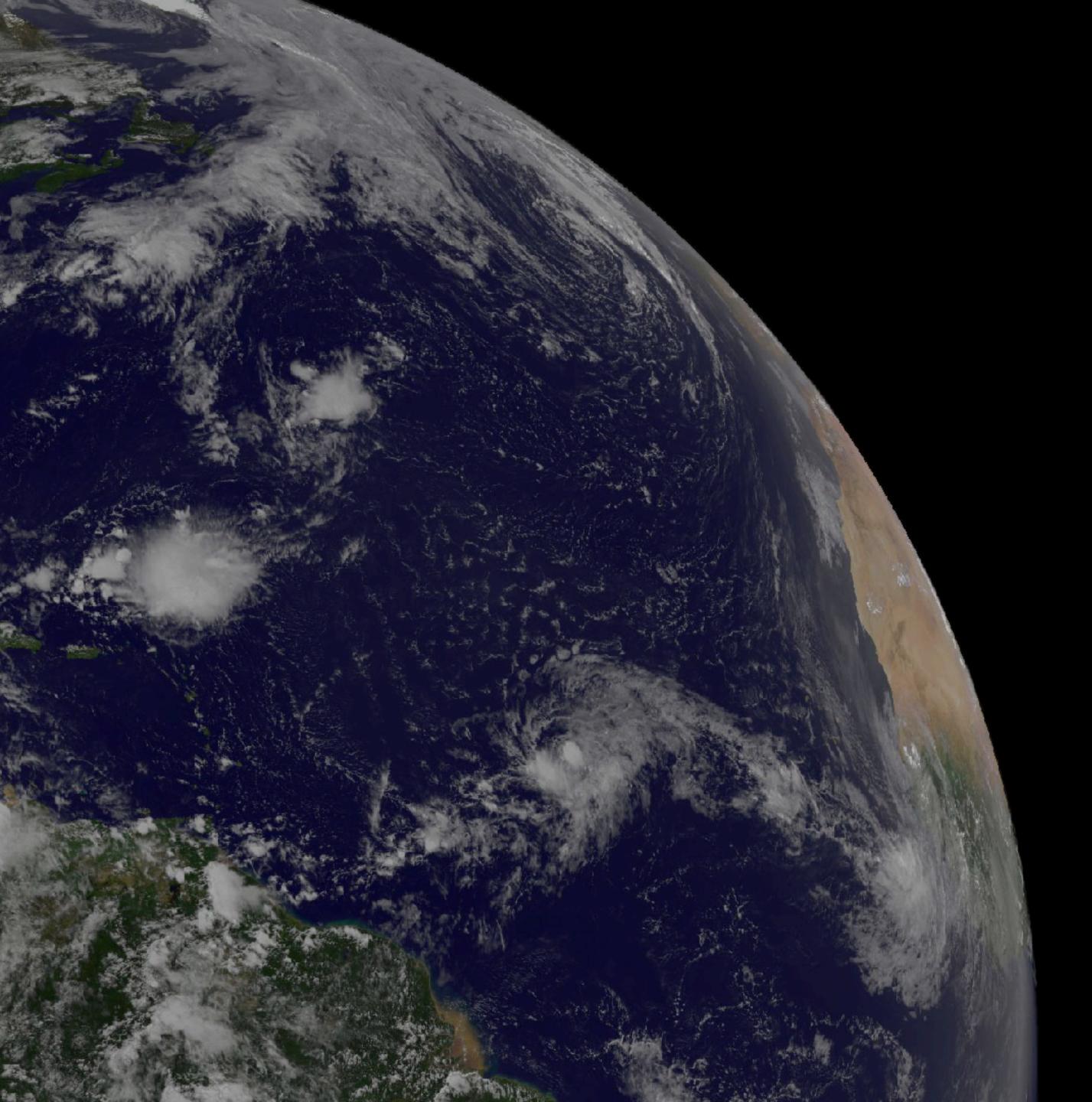




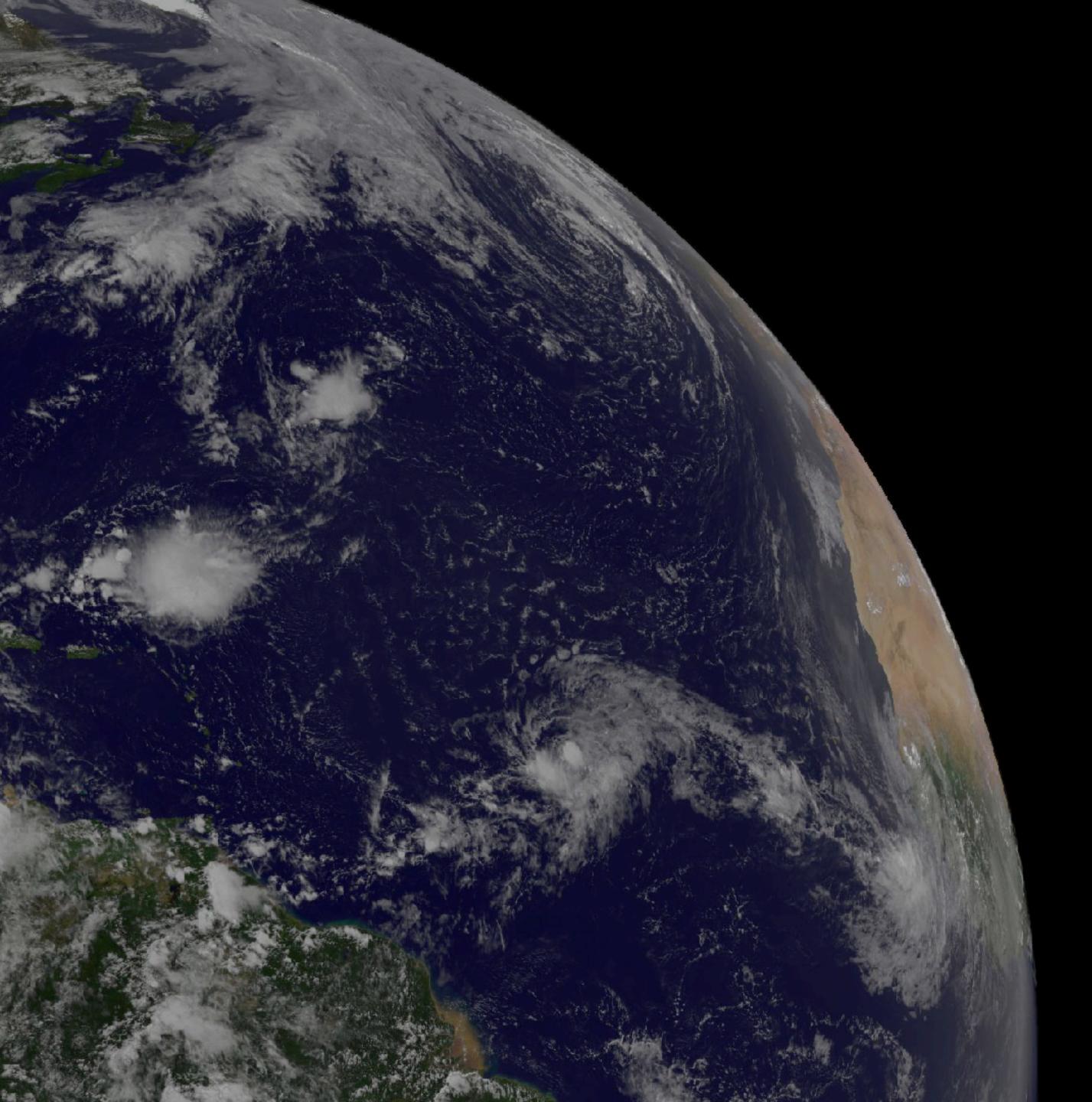








What are the dominant modes of **atmospheric variability**?



What are the dominant modes of **atmospheric variability**?

#### Empirical Orthogonal Functions and Statistical Weather Prediction

#### EDWARD N. LORENZ

#### MASSACHUSETTS INSTITUTE OF TECHNOLOGY DEPARTMENT OF METEOROLOGY

Cambridge, Massachusetts

#### DECEMBER 1956

#### Scientific Report No. 1 STATISTICAL FORECASTING PROJECT

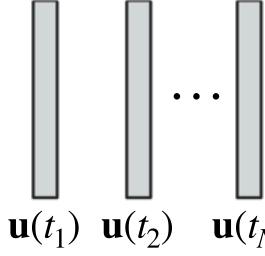
#### EDWARD N. LORENZ

Director

THE RESEARCH REPORTED IN THIS DOCUMENT HAS BEEN SPONSORED BY THE GEOPHYSICS RESEARCH DIRECTORATE OF THE AIR FORCE CAMBRIDGE RE-SEARCH CENTER, AIR RESEARCH AND DEVELOPMENT COMMAND, UNDER CONTRACT NO. AF19(604)1566.

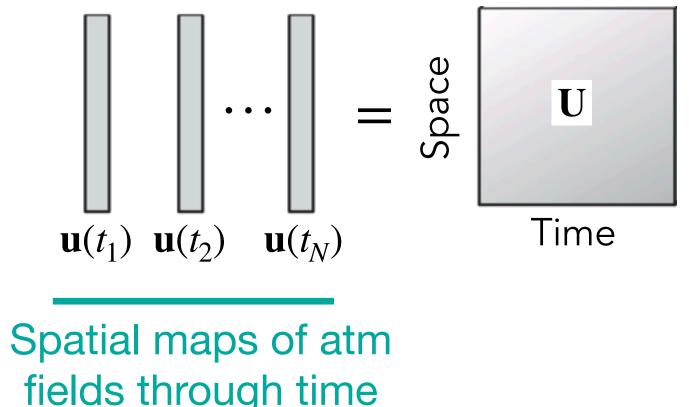
.

What are the dominant modes of **atmospheric variability**?



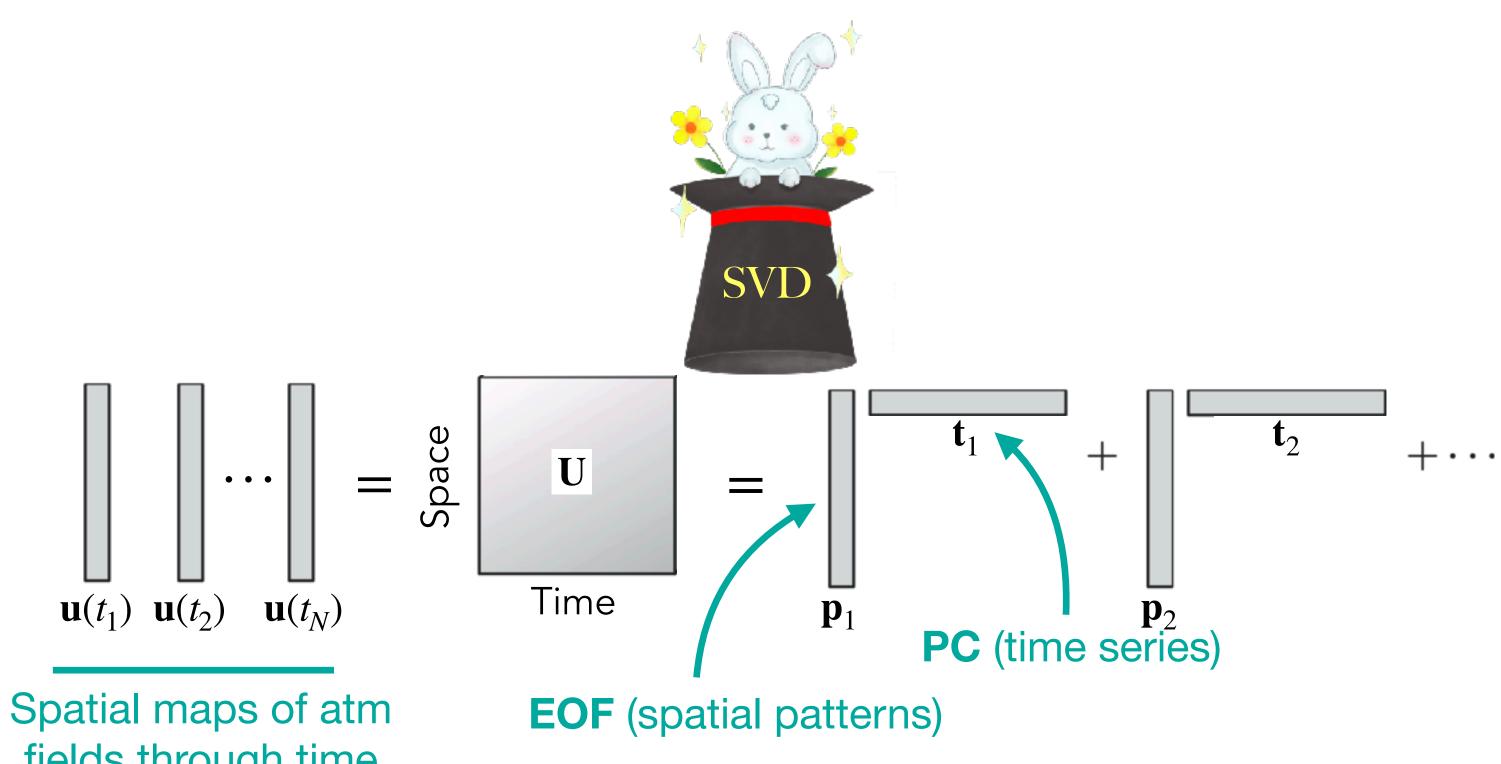
 $\mathbf{u}(t_1) \ \mathbf{u}(t_2) \ \mathbf{u}(t_N)$ Spatial maps of atm fields through time

What are the dominant modes of atmospheric variability?



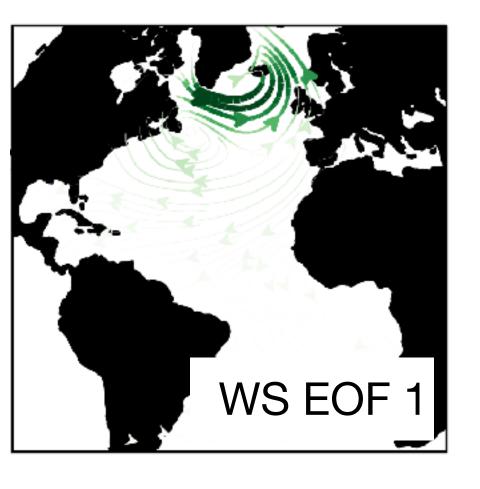
fields through time

What are the dominant modes of atmospheric variability?



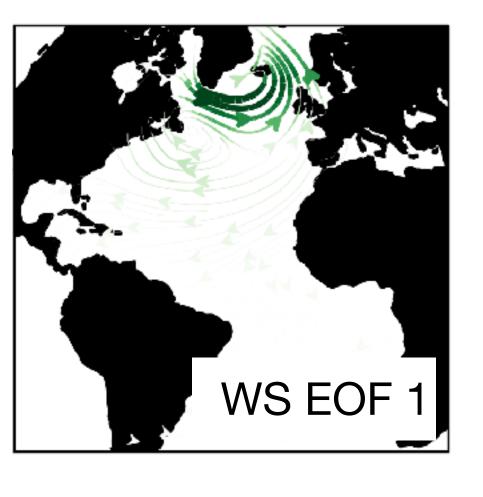
fields through time

What are the dominant modes of **atmospheric variability**?



The **leading EOF** of wind stress from ECCOv4-r4 has basin-scale structure with greatest amplitude in subpolar latitudes.

What are the dominant modes of **atmospheric variability**?

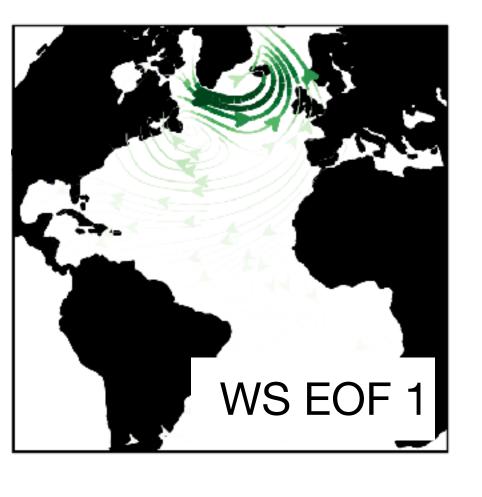


**But** the leading EOF need not be the leading driver of variability for an arbitrary ocean quantity.

The **leading EOF** of wind stress from ECCOv4-r4 has basin-scale structure with greatest amplitude in subpolar latitudes.

What are the dominant modes of atmospheric variability?

What are the most efficient pathways by which variability in an ocean quantity might be excited?



**But** the leading EOF need not be the leading driver of variability for an arbitrary ocean quantity.

The **leading EOF** of wind stress from ECCOv4-r4 has basin-scale structure with greatest amplitude in subpolar latitudes.

hat are the dominant modes of atmospheric variability?

What are the most efficient pathways by which variability in an ocean quantity might be excited?

Department of Earth and Planetary Sciences, Harvard University, Cambridge, Massachusetts (Manuscript received 19 July 1995, in final form 31 January 1996)

The answer: "stochastic optimals."

#### Generalized Stability Theory. Part I: Autonomous Operators

BRIAN F. FARRELL AND PETROS J. IOANNOU\*

#### A Theory for the Limitation of ENSO Predictability Due to **Stochastic Atmospheric Transients**

**RICHARD KLEEMAN** 

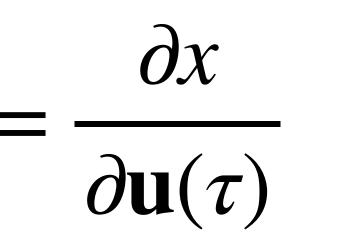
Bureau of Meteorology Research Centre, Melbourne, Victoria, Australia

ANDREW M. MOORE

Nova Southeastern University Oceanographic Center, Dania, Florida (Manuscript received 28 December 1995, in final form 10 July 1996)

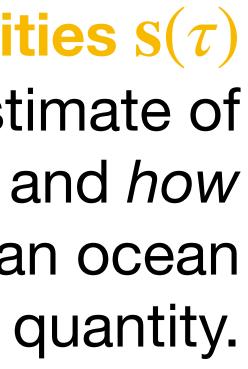


 $\mathbf{S}(\tau)$ 



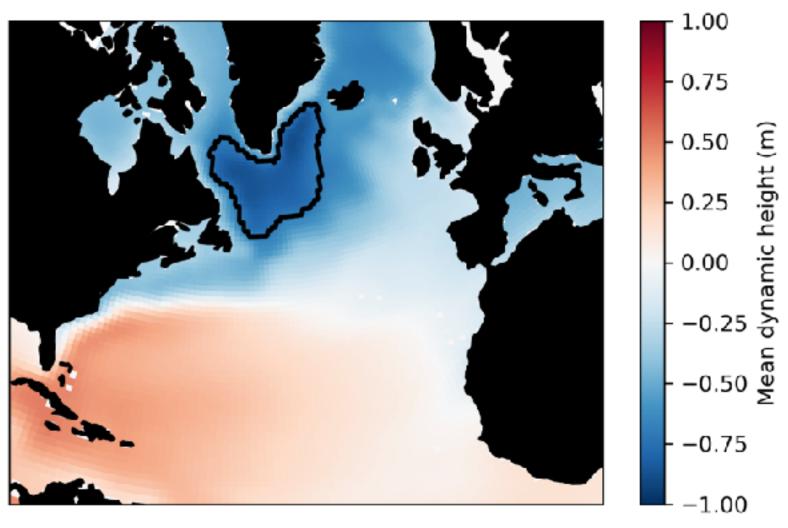
### **Ocean sensitivities** $\mathbf{S}(\tau)$

are a linear estimate of when, where, and how to change an ocean





 $\mathbf{S}(\tau)$ 



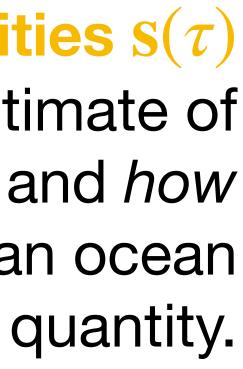
*x*: OHC above 700m in the North Atlantic subpolar gyre **u**: wind stress (WS) and heat fluxes (HF)

# $\partial x$ $\partial \mathbf{u}(\tau)$

### This talk:

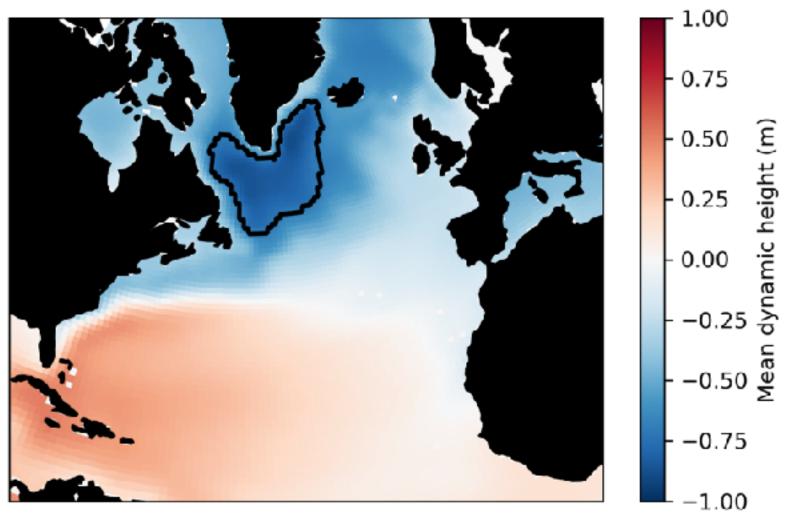
## **Ocean sensitivities** $\mathbf{S}(\tau)$

are a linear estimate of when, where, and how to change an ocean





 $S(\tau$ 



*x*: OHC above 700m in the North Atlantic subpolar gyre **u**: wind stress (WS) and heat fluxes (HF)

# $\partial x$ $\partial \mathbf{u}(\tau)$

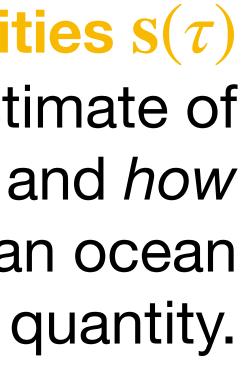
This talk:

## **Ocean sensitivities** $\mathbf{S}(\tau)$

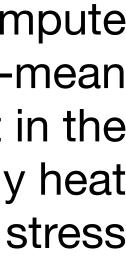
are a linear estimate of when, where, and how to change an ocean

#### 1° resolution **MITgcm ECCO v4 flux-forced configuration**

Adjointed to compute sensitivities of annual-mean upper 700m heat content in the subpolar gyre to weekly heat fluxes and wind stress

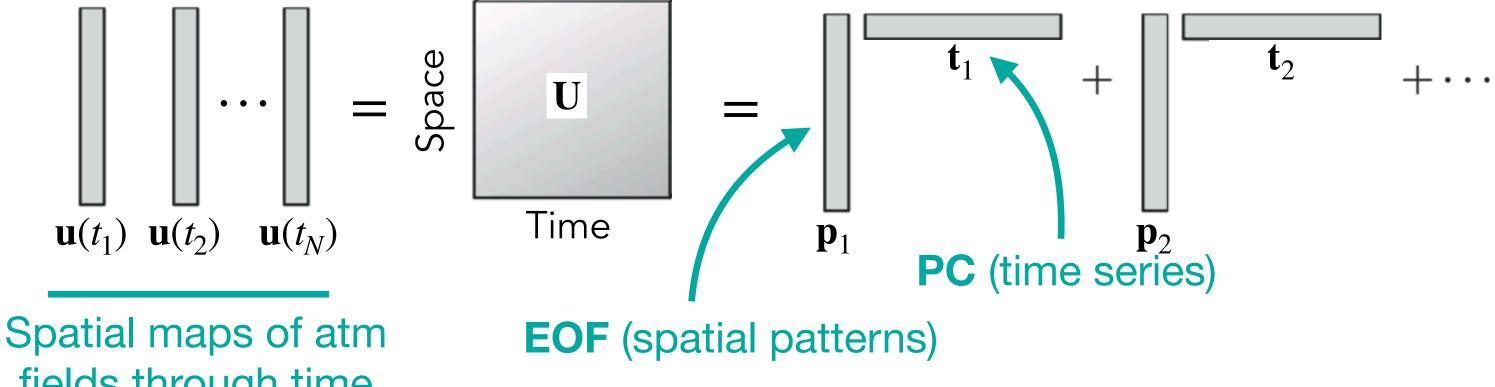




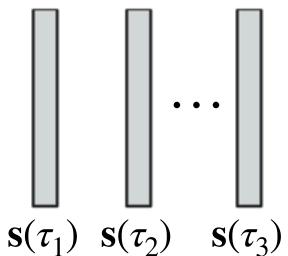


hat are the dominant modes of atmospheric variability?

What are the most efficient pathways by which variability in an ocean quantity might be excited?



fields through time

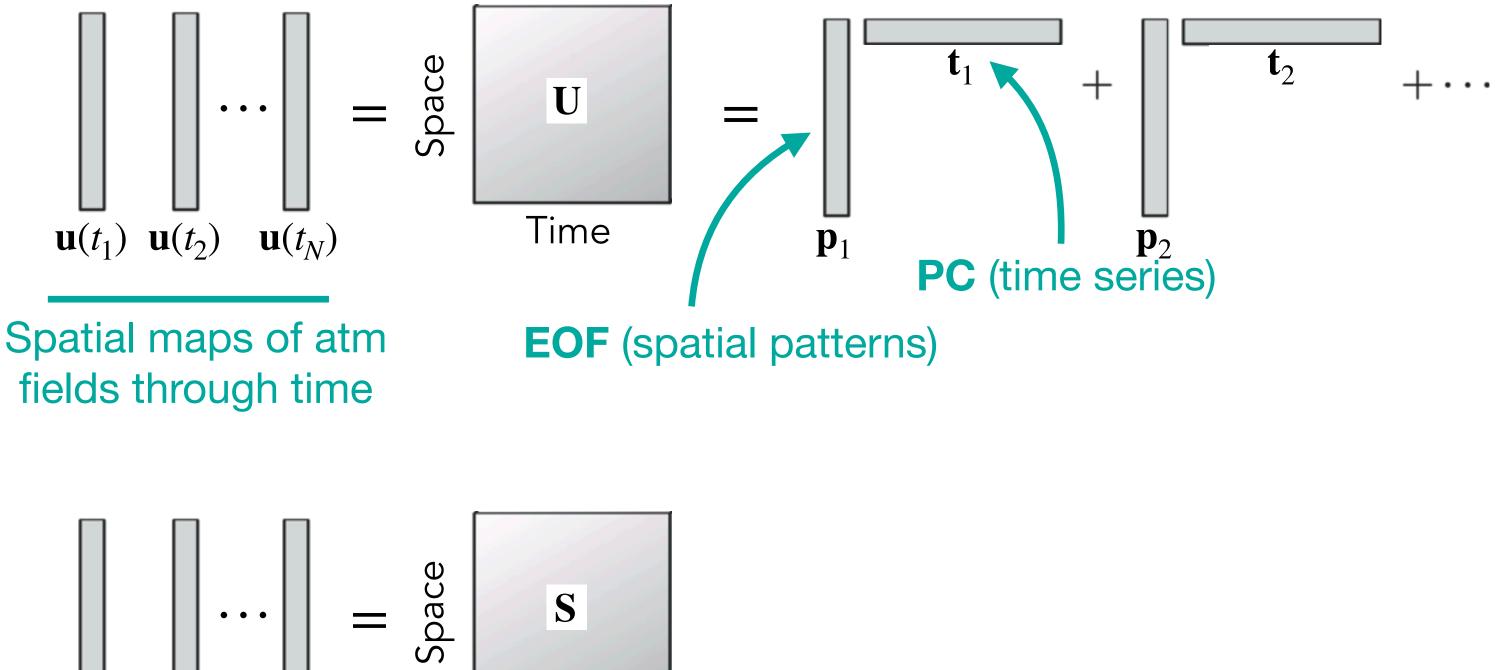


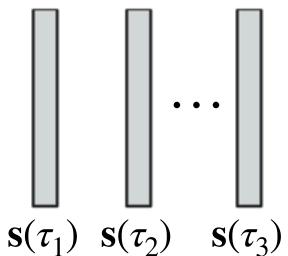
Spatial maps of ocean sensitivities across lags



hat are the dominant modes of atmospheric variability?

What are the most efficient pathways by which variability in an ocean quantity might be excited?



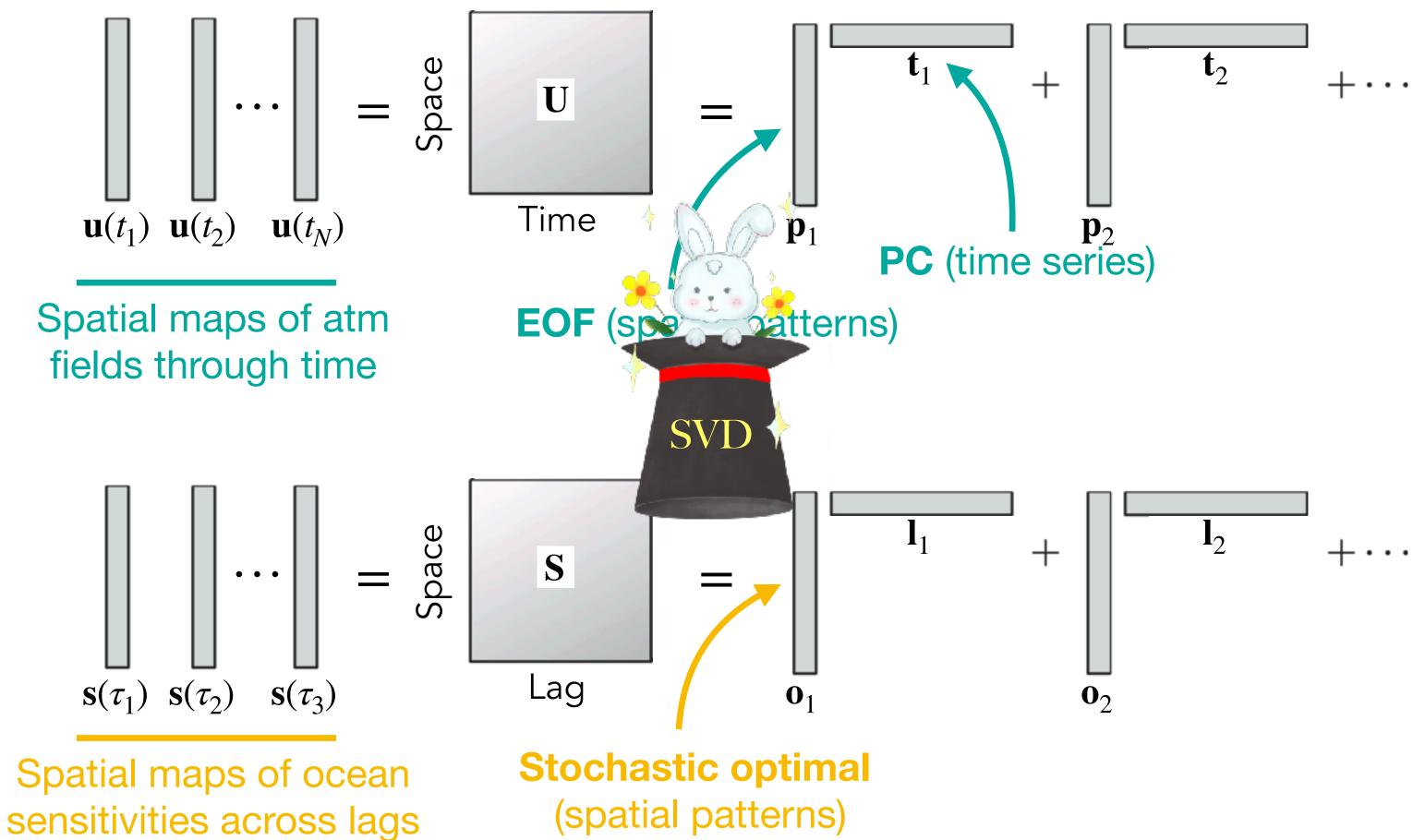


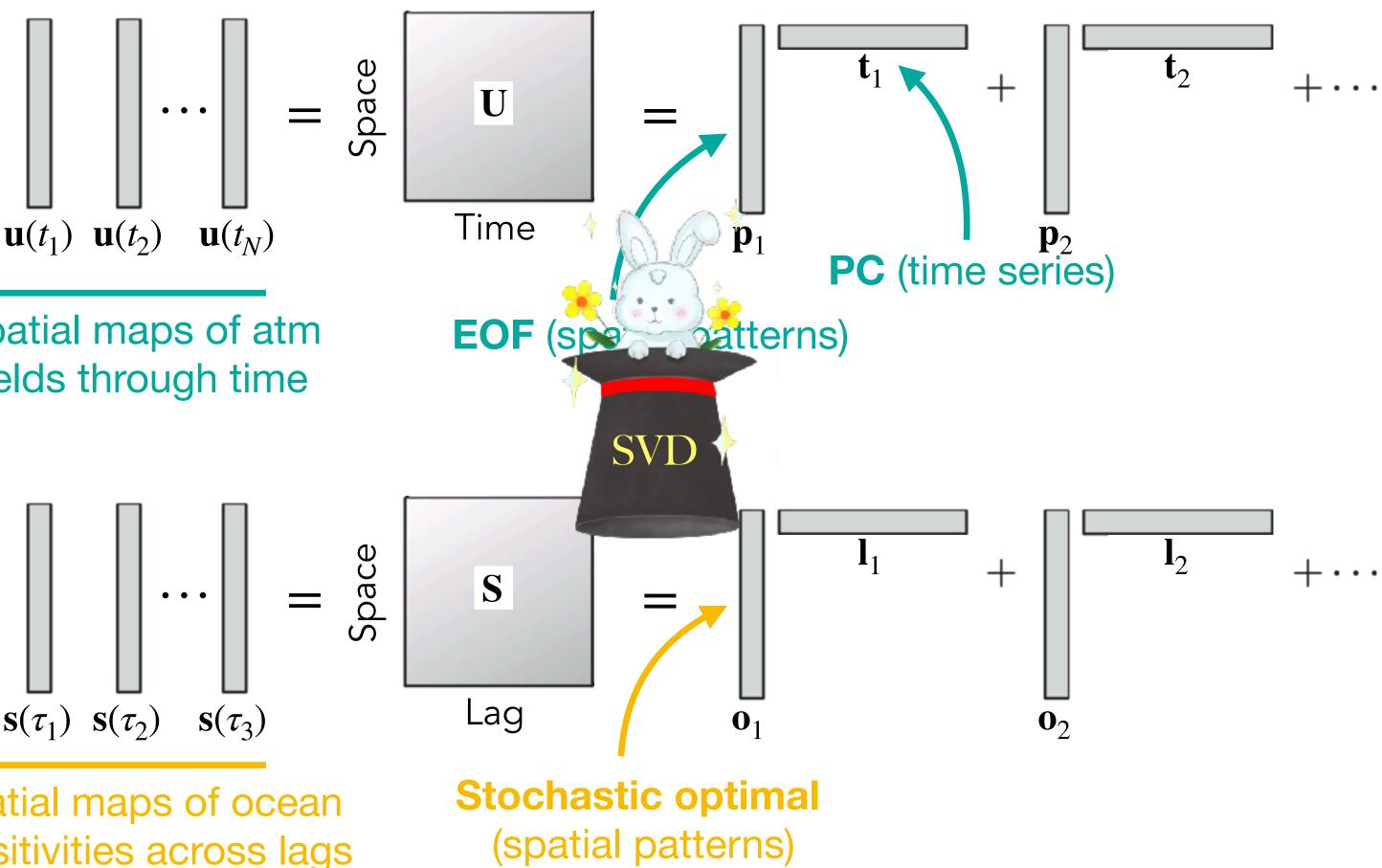
Spatial maps of ocean sensitivities across lags



What are the dominant modes of atmospheric variability?

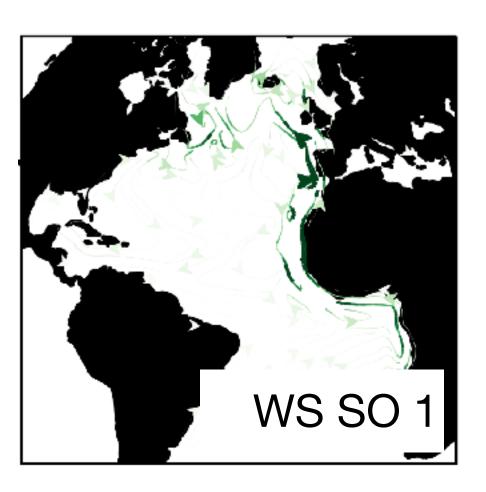
What are the most efficient pathways by which variability in an ocean quantity might be excited?





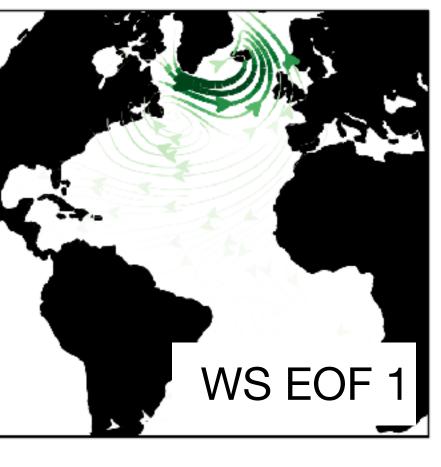
What are the dominant modes of atmospheric variability?

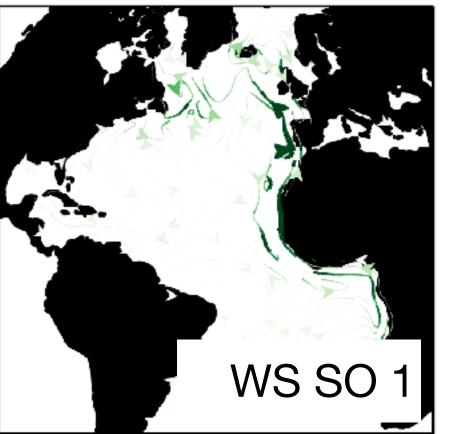
What are the most efficient pathways by which variability in an ocean quantity might be excited?



What are the dominant modes of atmospheric variability?

What are the most efficient pathways by which variability in an ocean quantity might be excited?

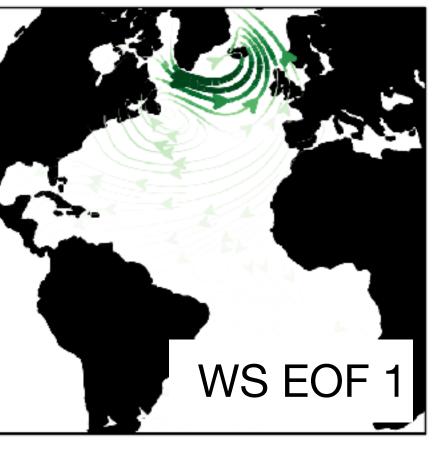


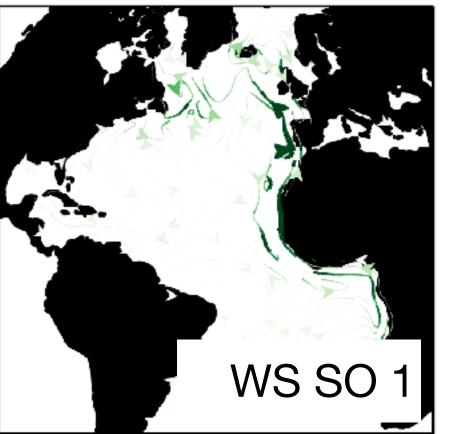


The **leading EOF** of wind stress from ECCOv4-r4 has basin-scale structure with greatest amplitude in subpolar latitudes.

What are the dominant modes of atmospheric variability?

What are the most efficient pathways by which variability in an ocean quantity might be excited?



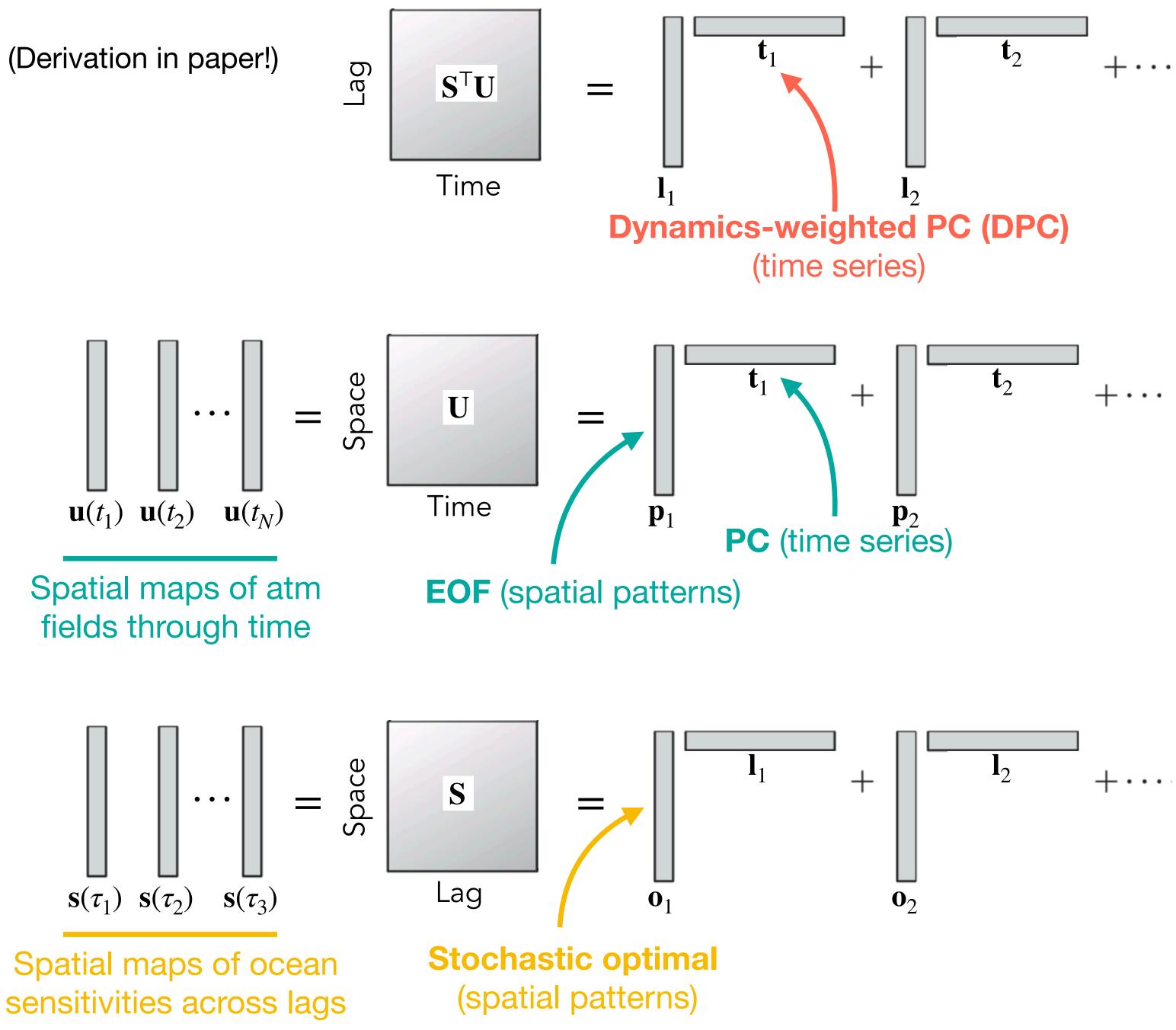


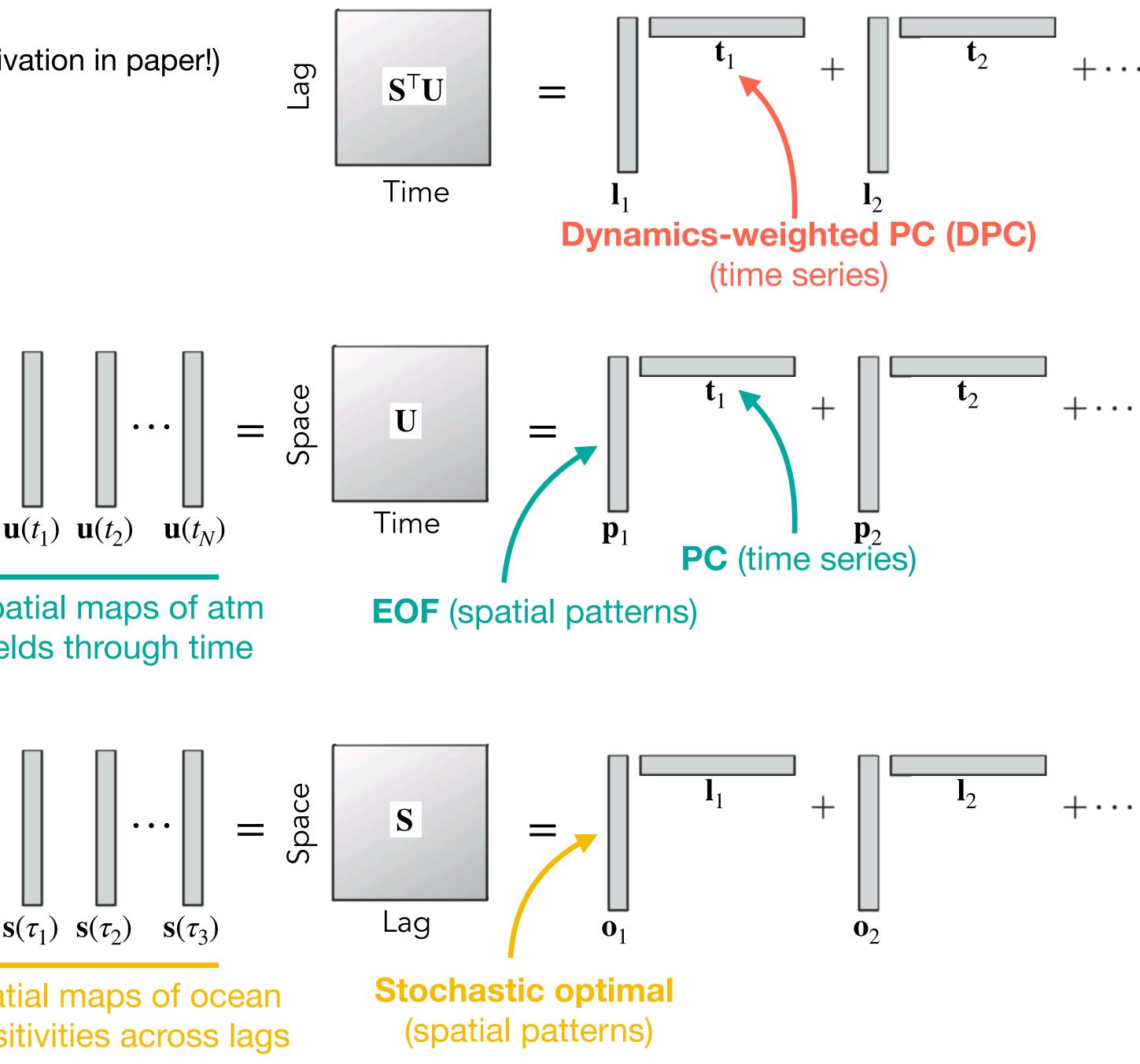
The **leading EOF** of wind stress from ECCOv4-r4 has basin-scale structure with greatest amplitude in subpolar latitudes.

hat are the dominant modes of atmospheric variability?

hat are the most efficient pathways which variability in ocean quantity might be excited?

(Derivation in paper!)

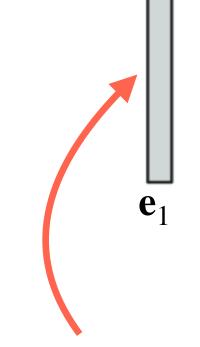


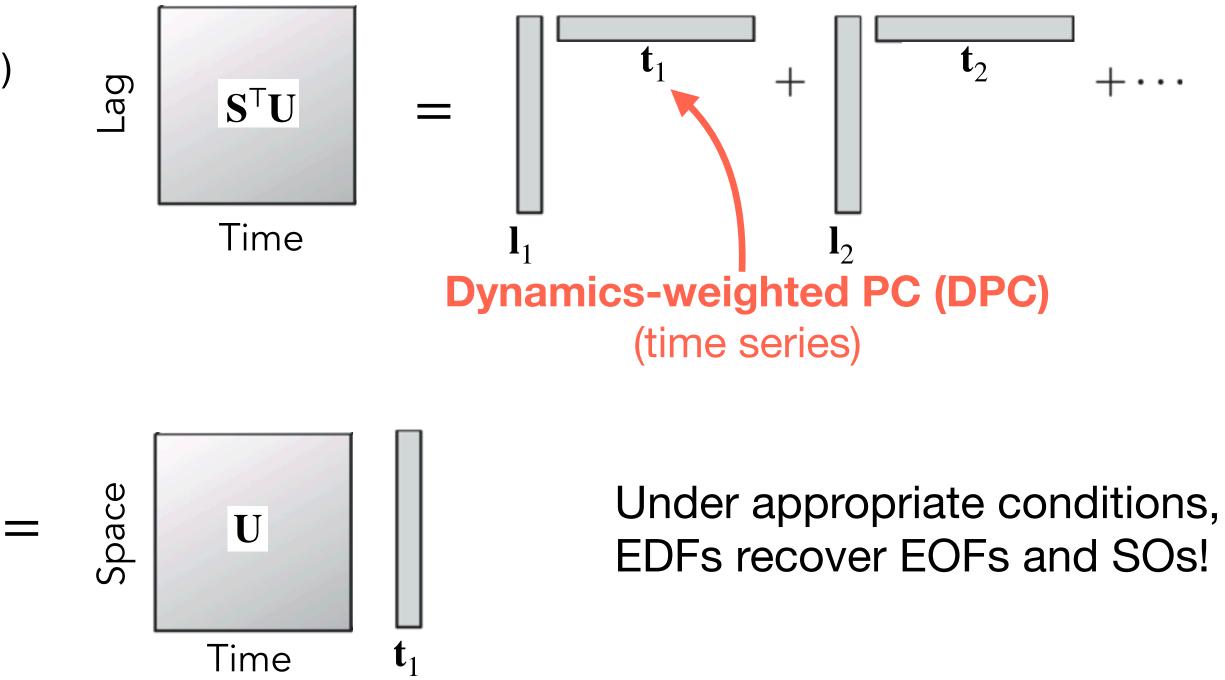


hat are the dominant modes of atmospheric riability?

at are the most efficient pathways hich variability in ocean quantity might be excited?

(Derivation in paper!)

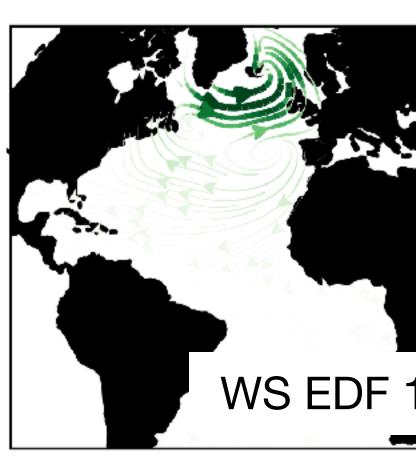


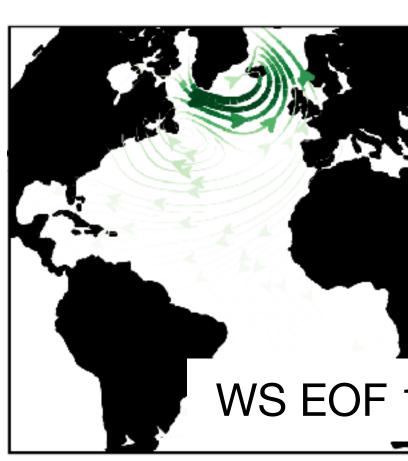


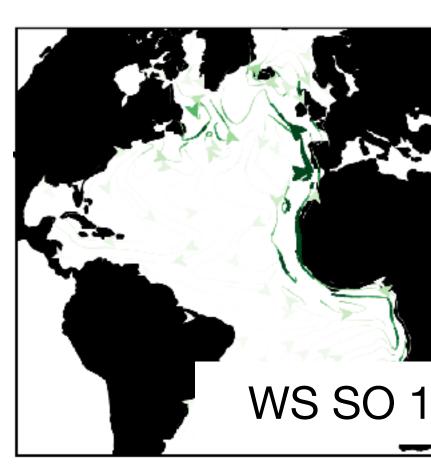
"Empirical-dynamical function" (EDF) (spatial pattern)

What are the dominant modes of **atmospheric variability**?

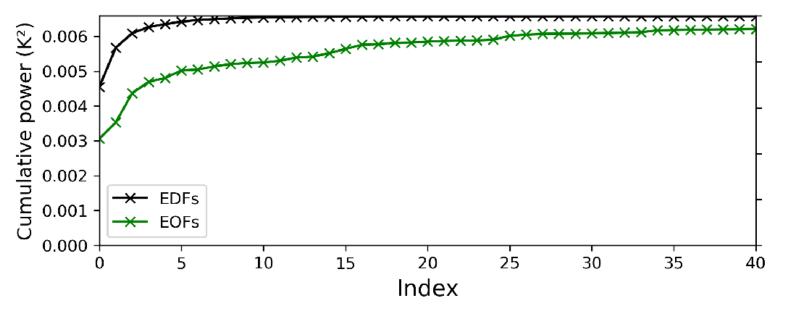
What are the most efficient pathways by which variability in an ocean quantity might be excited?







The **leading EDF** and EOF are qualitatively similar. Nevertheless, EDF 1 explains substantially more SPG HC variability.

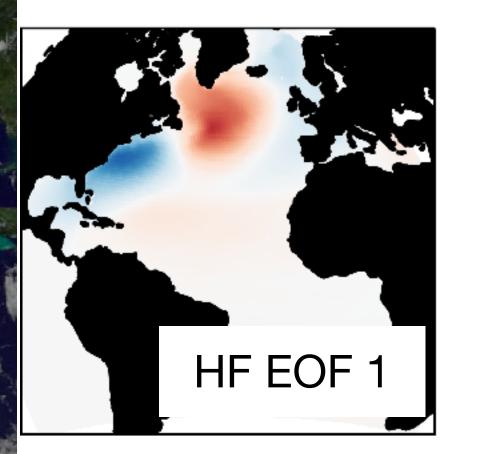


The **leading EOF** of wind stress from ECCOv4-r4 has basin-scale structure with greatest amplitude in subpolar latitudes.

Now for heat fluxes!

What are the dominant modes of atmospheric variability?

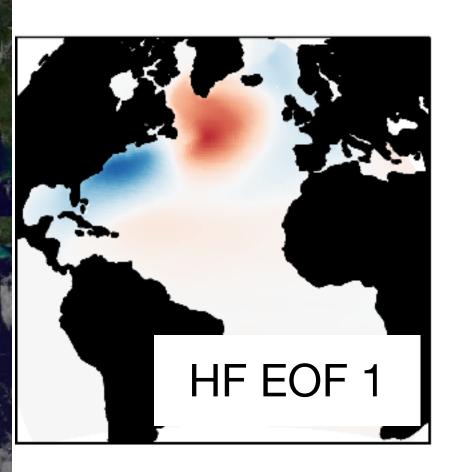
What are the most efficient pathways by which variability in an ocean quantity might be excited?

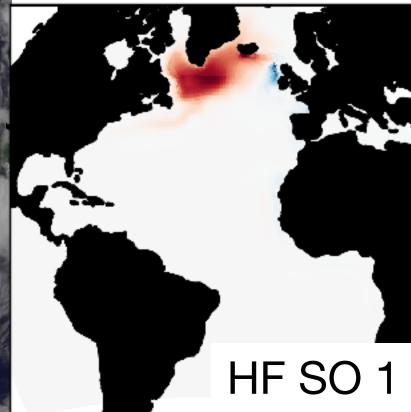


#### The leading EOF has a primarily **dipolar** structure.

What are the dominant modes of atmospheric variability?

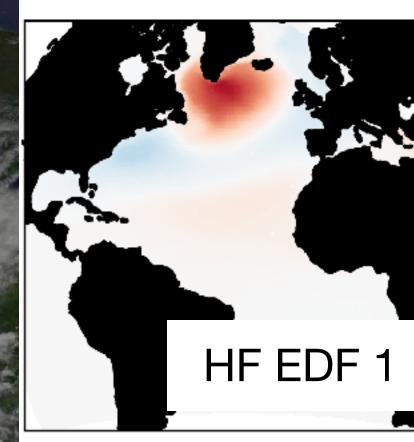
What are the most efficient pathways by which variability in an ocean quantity might be excited?





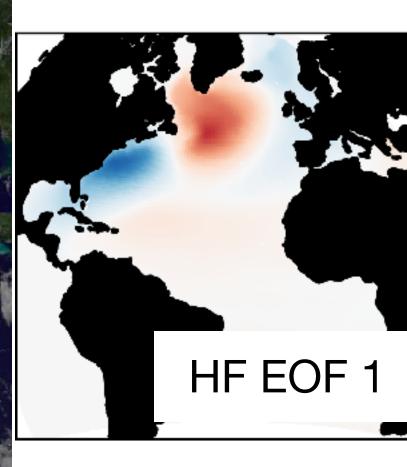
#### The leading EOF has a primarily **dipolar** structure.

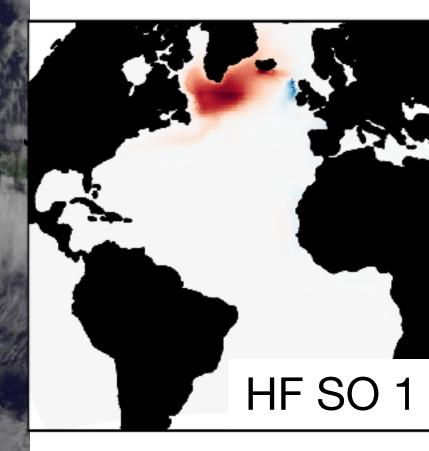
Local heat fluxes are a leading driver of annually-averaged SPG heat content.



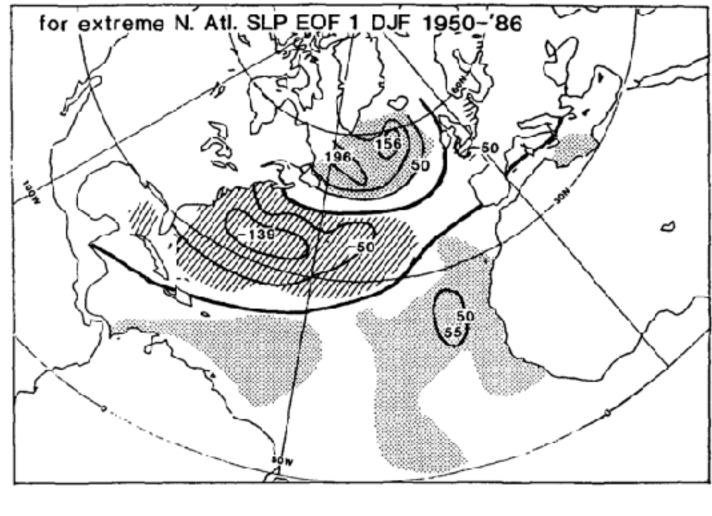
What are the dominant modes of atmospheric variability?

What are the most efficient pathways by which variability in an ocean quantity might be excited?





The leading EDF has a pattern correlation of 94% with the NAO.



NAO heat flux (Wm<sup>-2</sup>; Cayan, 1992)

The leading EOF has a primarily **dipolar** structure.

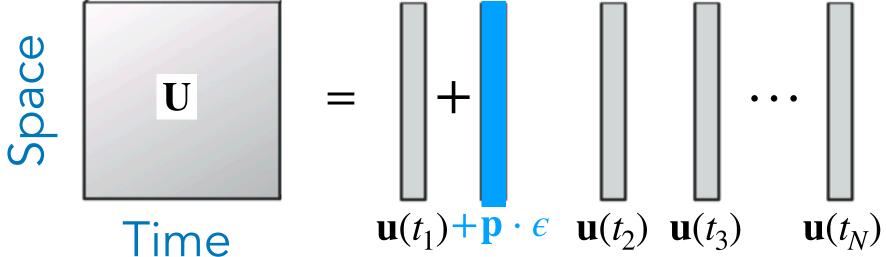
Local heat fluxes are a leading driver of annually-averaged SPG heat content.

# Using ECCO as a climate sandbox

#### The ECCO state estimate is a forward run of the MITgcm

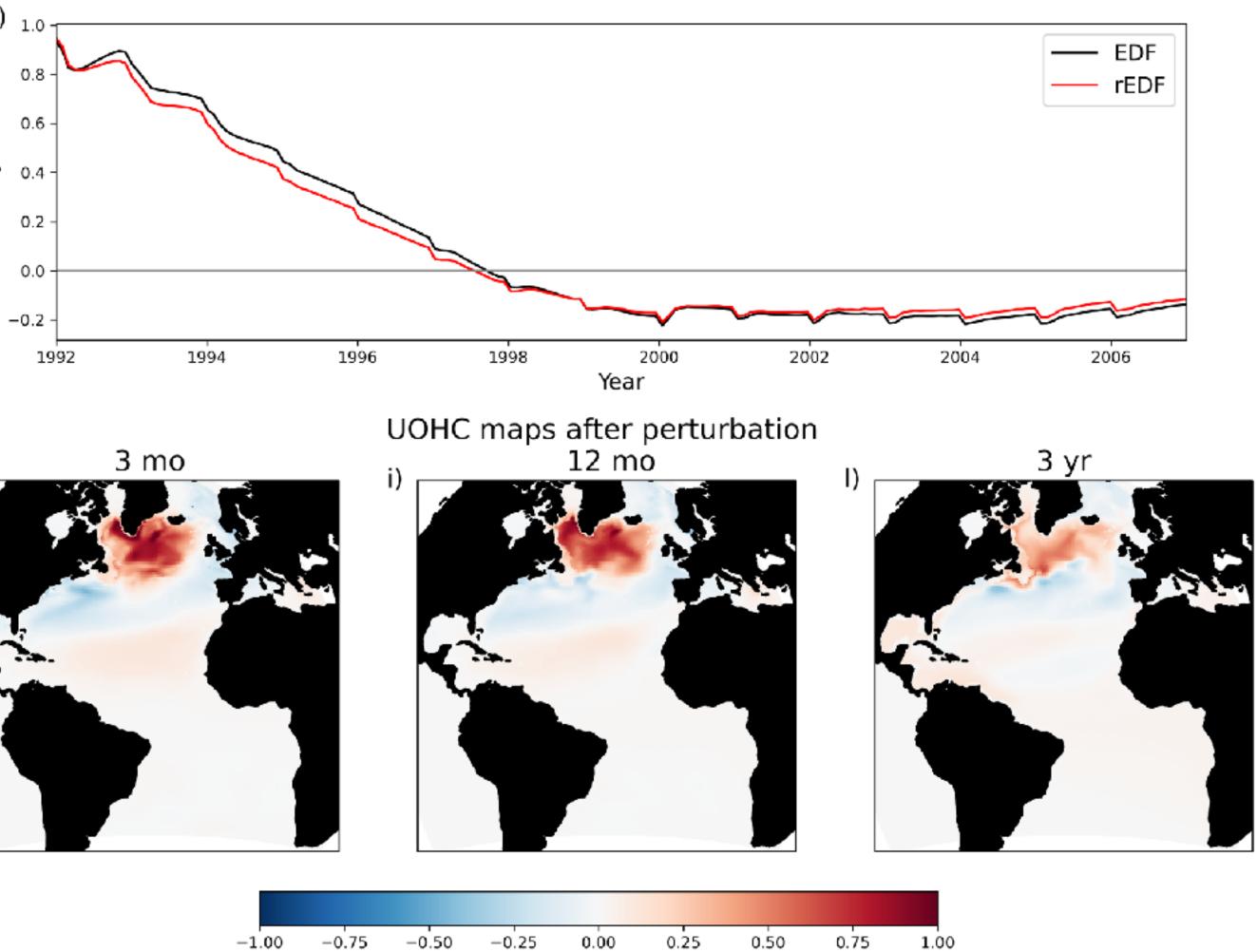
...so we can make **changes** to the forcing and rerun the MITgcm to evaluate impacts and **mechanisms** of atmospheric forcings.

### Perturbing ECCO fluxes with the leading EDF



# Pathways of stochastic variability

Anomalies resulting from a 24-hour **heat flux** perturbation at the beginning of the ECCO period decay similarly to a **Hasselmann-like response.** 



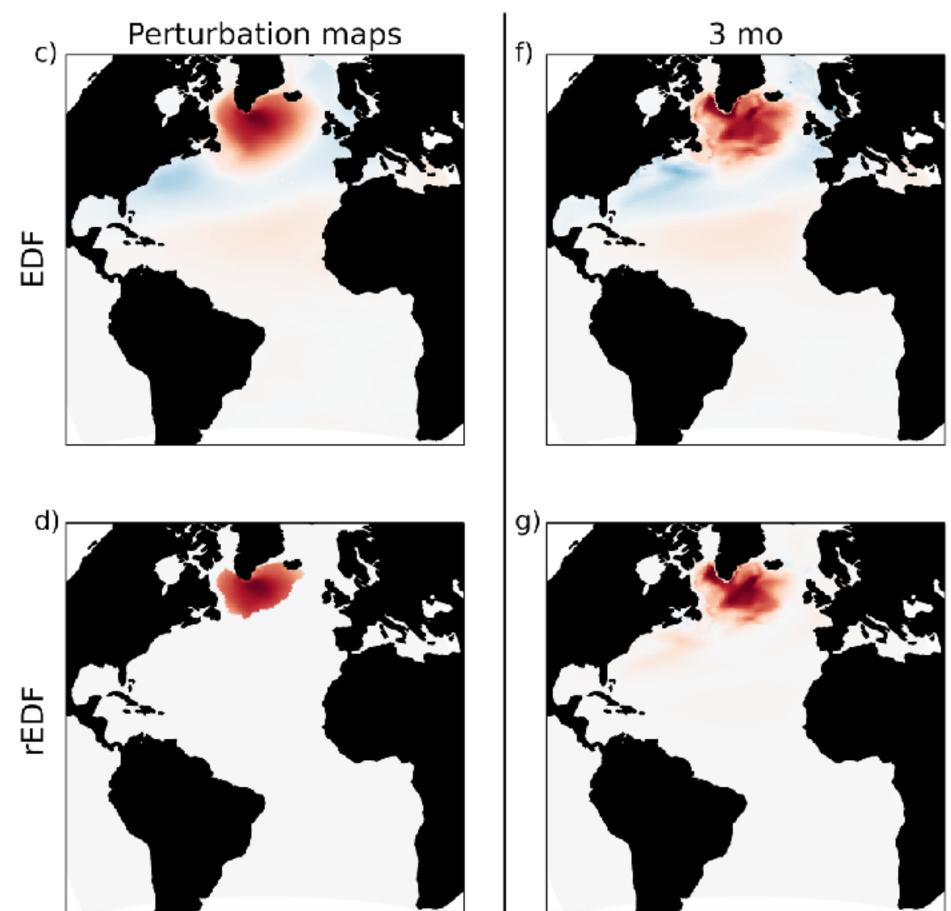
OHC anomaly (Km)

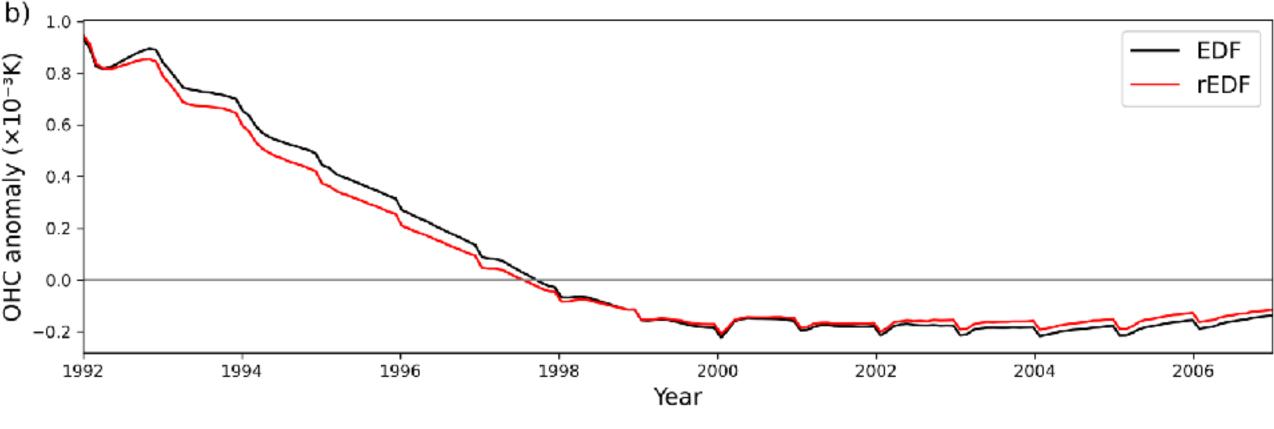
# Pathways of stochastic variability

Anomalies resulting from a 24-hour **heat flux** perturbation at the beginning of the ECCO period decay similarly to a Hasselmann-like response.

#### "Reduced EDFs"

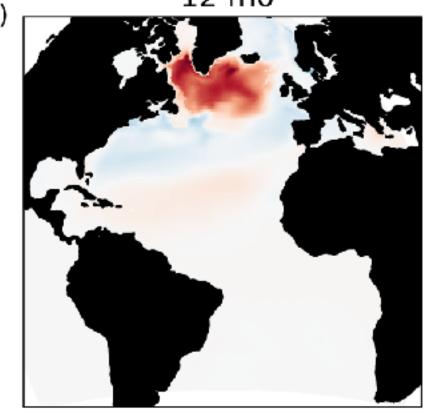
- different perturbation, ~same response!

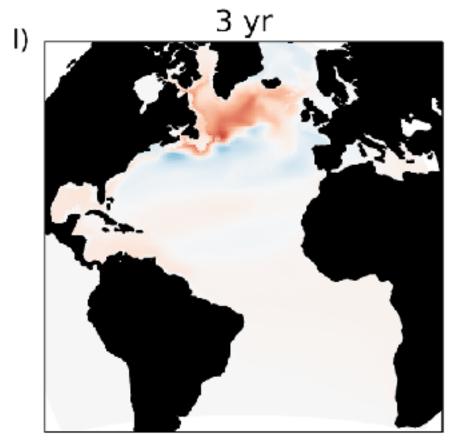


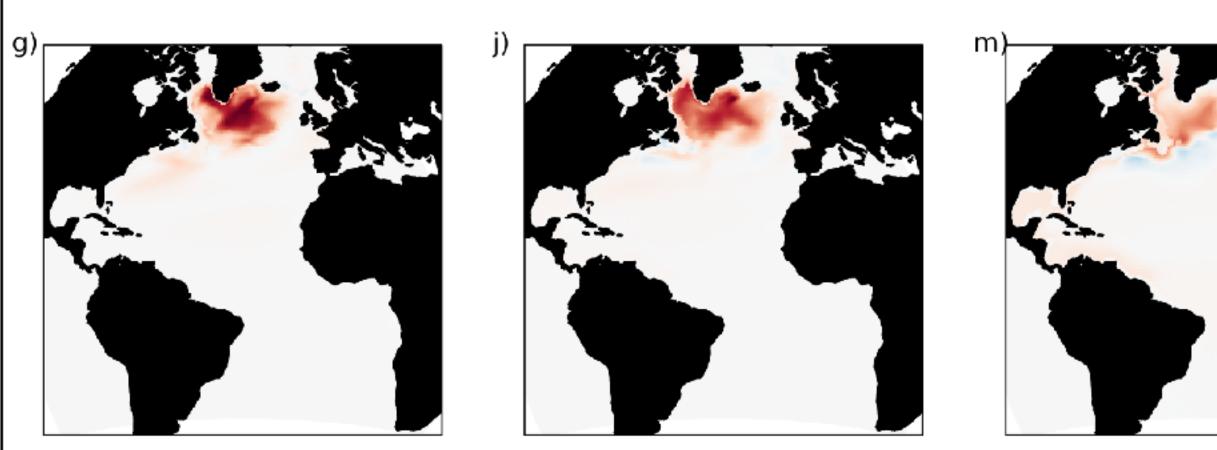


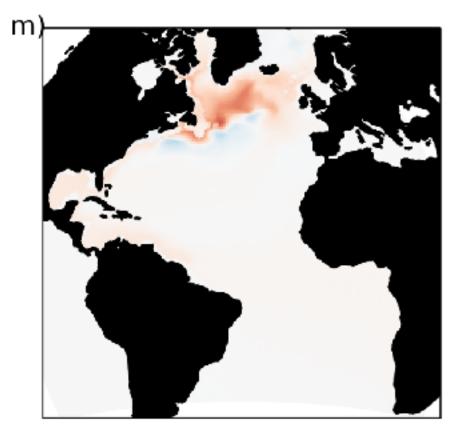


UOHC maps after perturbation 12 mo

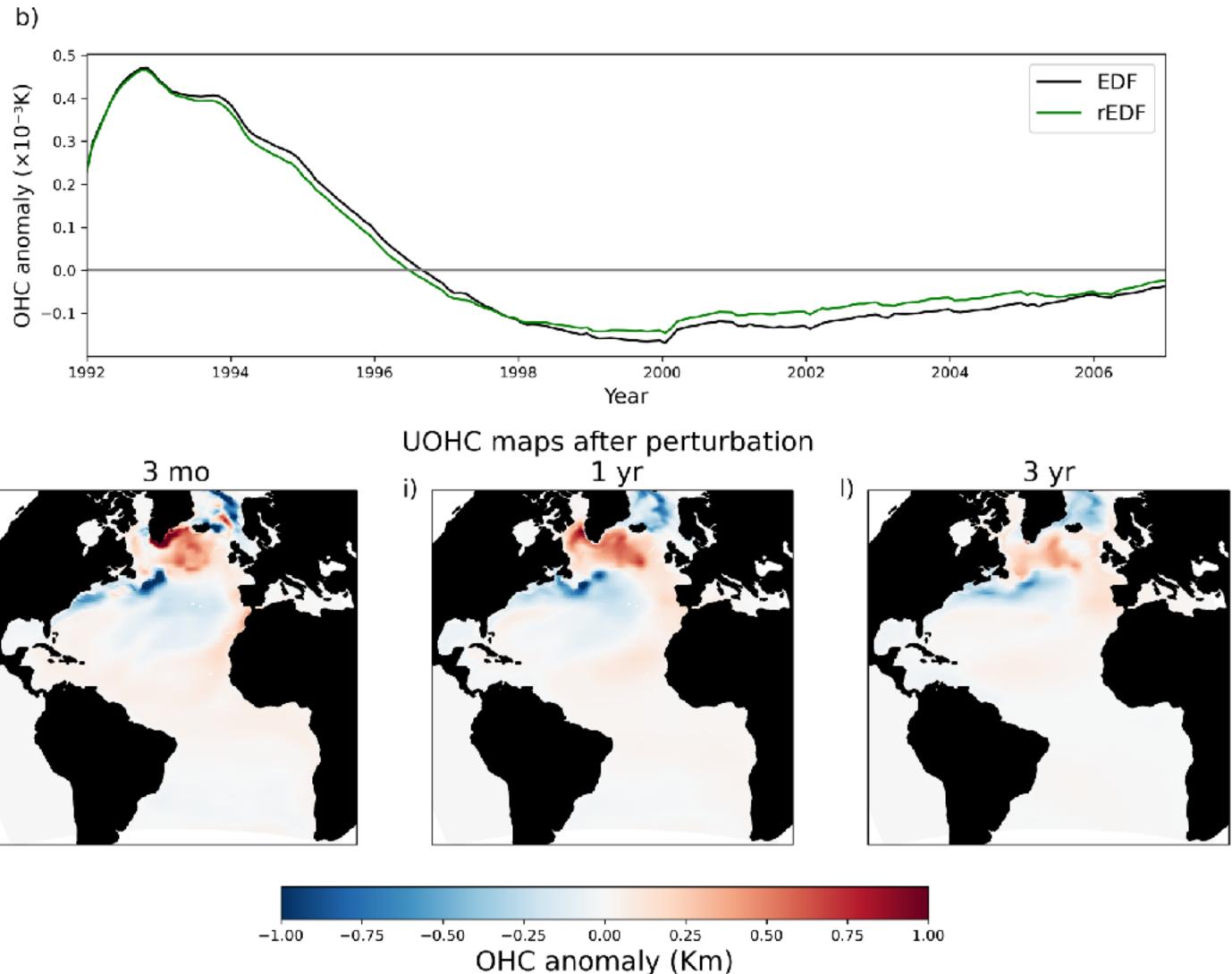


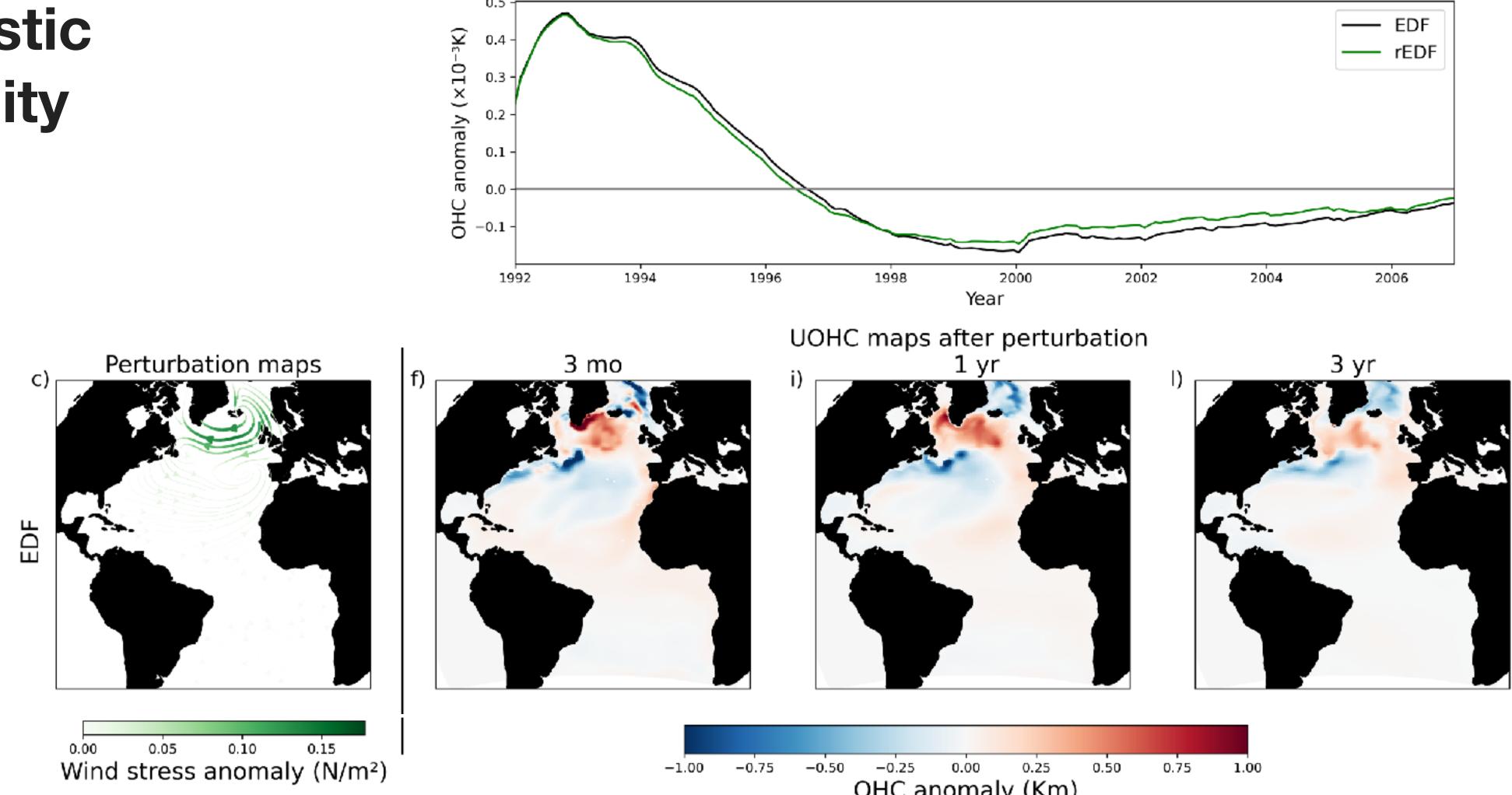






## Pathways of stochastic variability





The response to wind stress has a **delayed peak**, suggesting a role for spin-up of the subpolar gyre and increased ocean heat flux convergence from lower latitudes (e.g., Desbruyéres et al. 2021).

# Using ECCO as a climate sandbox

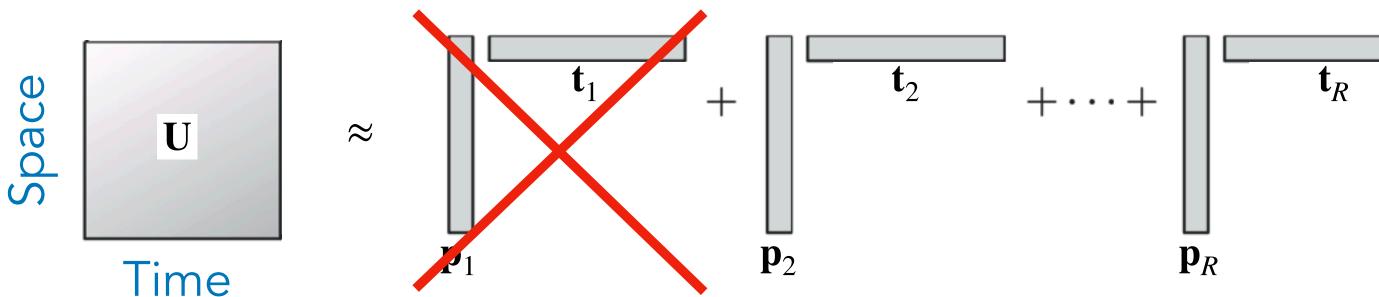
#### The ECCO state estimate is a forward run of the MITgcm

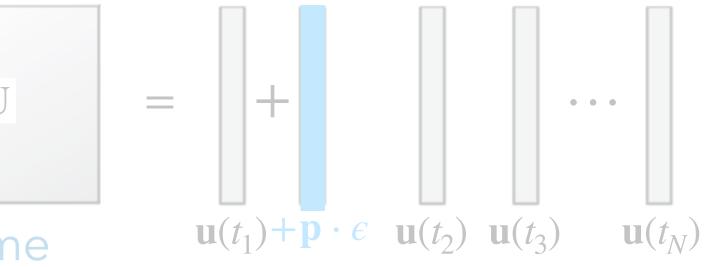
...so we can make **changes** to the forcing and rerun the MITgcm to evaluate impacts and **mechanisms** of atmospheric forcings.

**Perturbing ECCO fluxes with the leading EDF** 

Space

Time

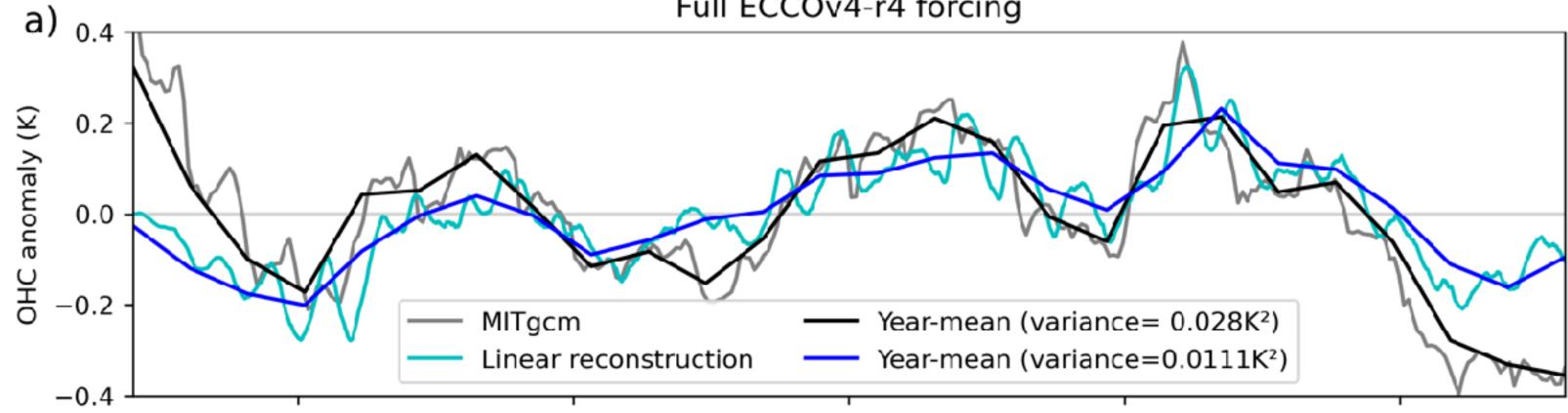




#### **Omitting a leading EDF**

# "Turning down" ocean variability in ECCOv4-r4

Linearly reconstructed SPG HC variability from HF correlates with ECCOv4-r4.





#### Full ECCOv4-r4 forcing

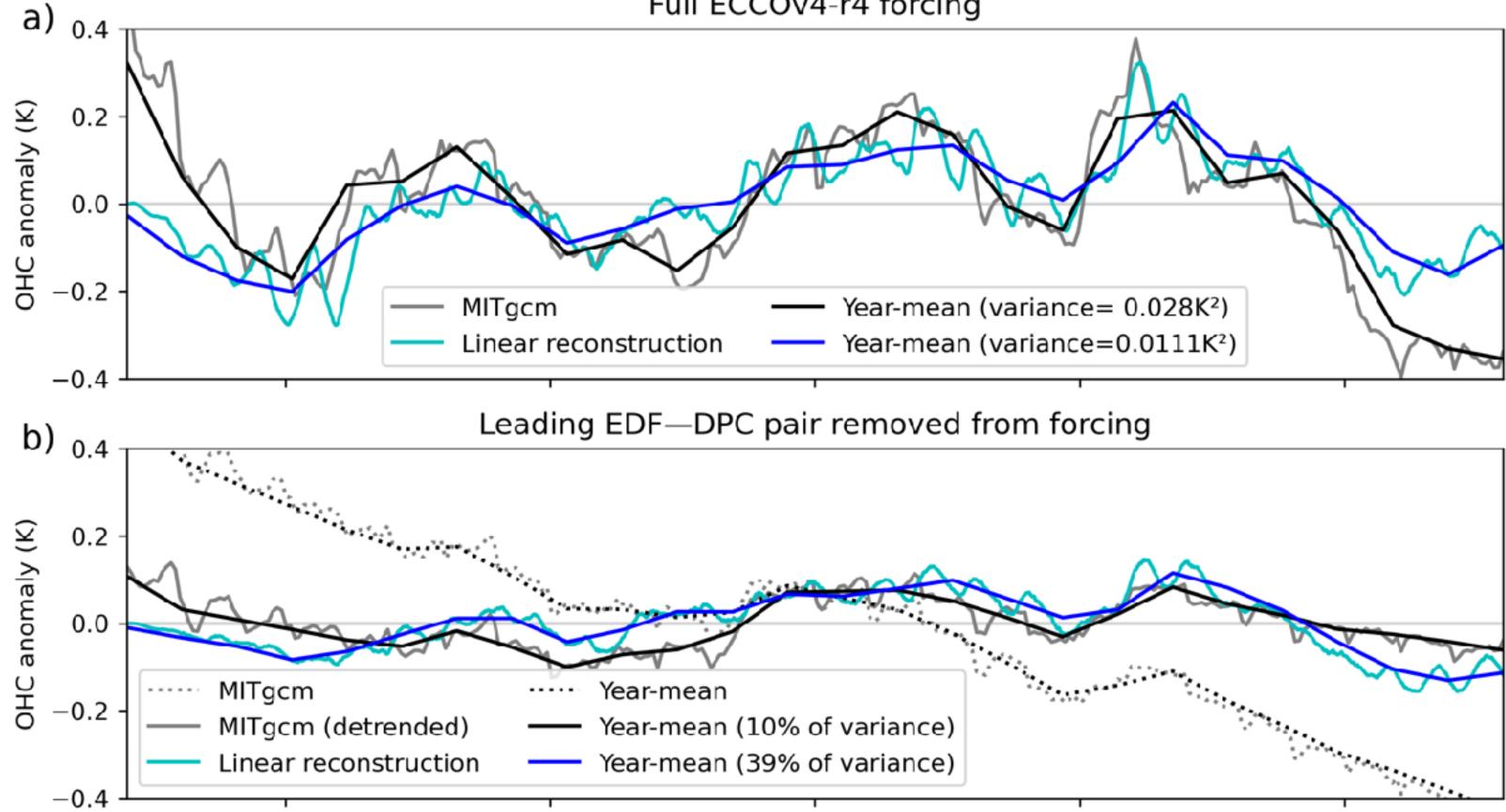
# **"Turning down" ocean variability** in ECCOv4-r4

Linearly reconstructed SPG HC variability from HF correlates with ECCOv4-r4.

Removing the leading EDF in the MITgcm yields a trend in SPG HC, likely from missing HF feedbacks.

Superimposed on this trend is strong attenuation of interannual ocean variability.

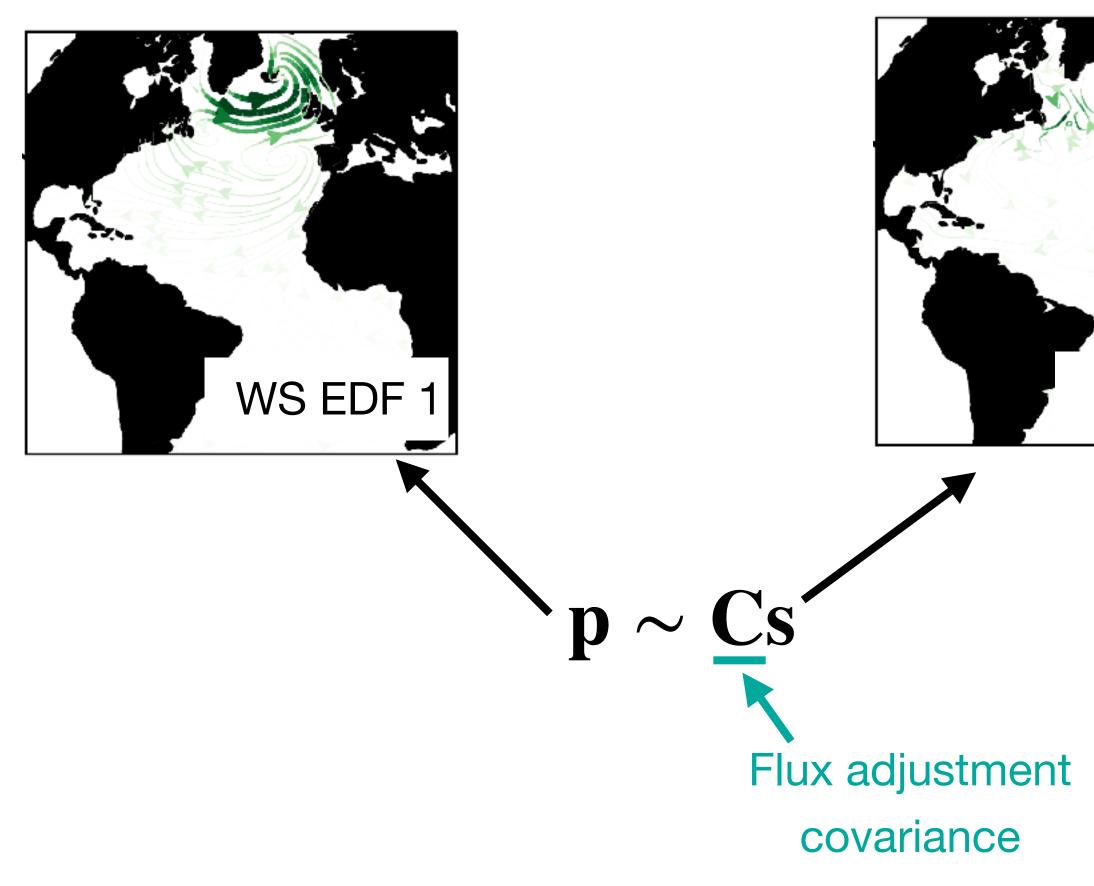
Wind stresses are qualitatively similar, without the nonlinear trend.

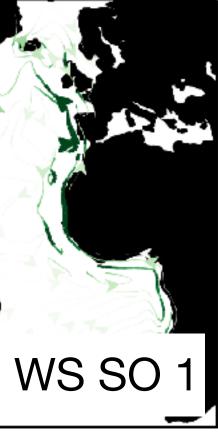




#### Full ECCOv4-r4 forcing

# **Relevance for state estimation**





Suggestion: Applying knowledge of control covariances offers a statistically principled way of smoothing control adjustments for state estimation.

This is important especially where control adjustments can be large (e.g., past climates).

There is also an opportunity to assimilate atmospheric observations for coupled DA by writing down a second Lagrange multiplier problem!

or e

## **Conclusions and future work**

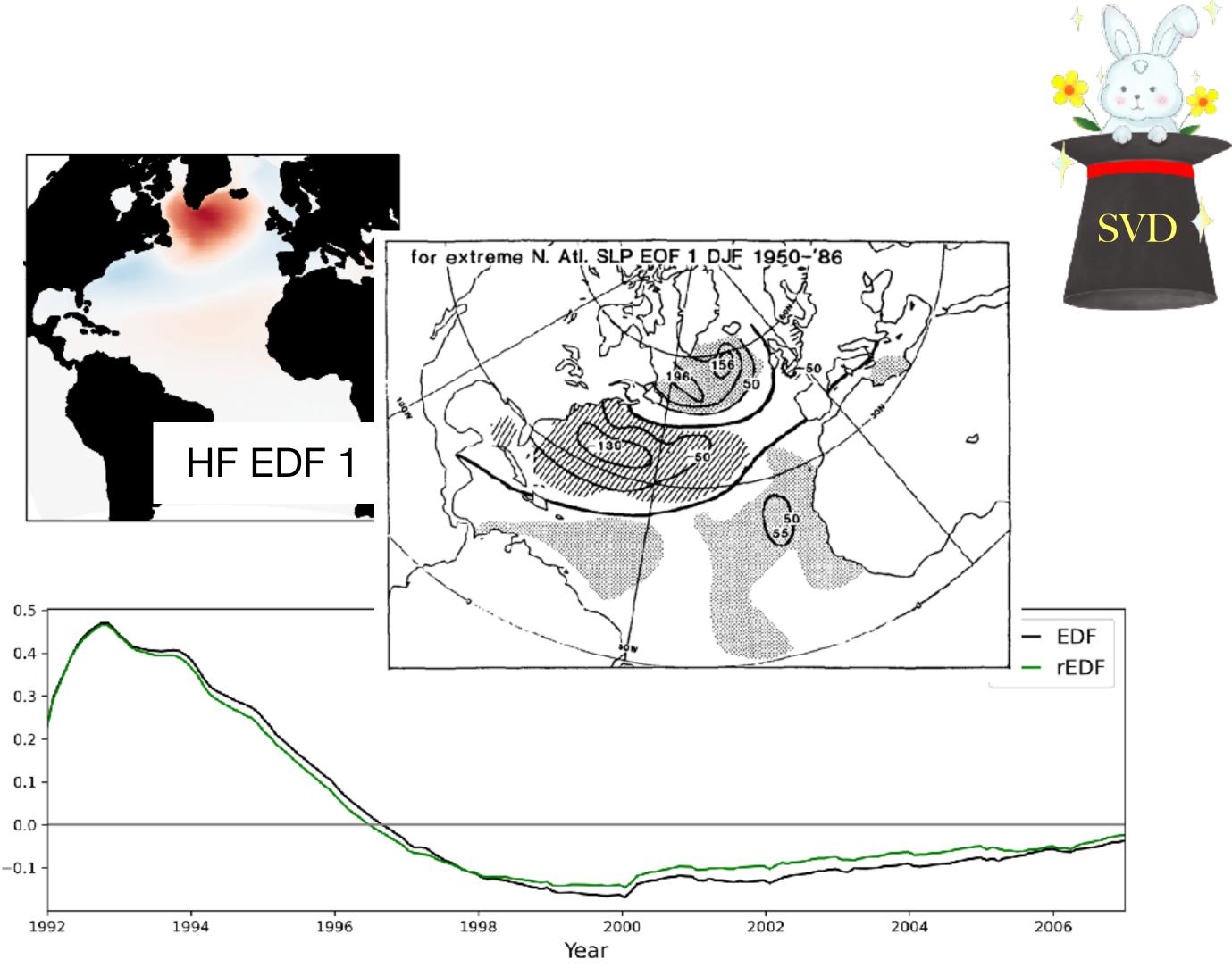
By combining adjoints and atmospheric statistics, we can identify *atmospheric* structures that dominate ocean variability.

When applied to annual-mean SPG HC, **NAO**like heat flux and wind stress patterns dominate interannual variability through both passive and active ocean roles.

Caveats: Using a 1°, ocean-only, flux-forced model. No guarantee of **significance** of atmospheric modes estimated over short flux periods. Solving separately for wind stress and buoyancy fluxes.

## damrhein@ucar.edu

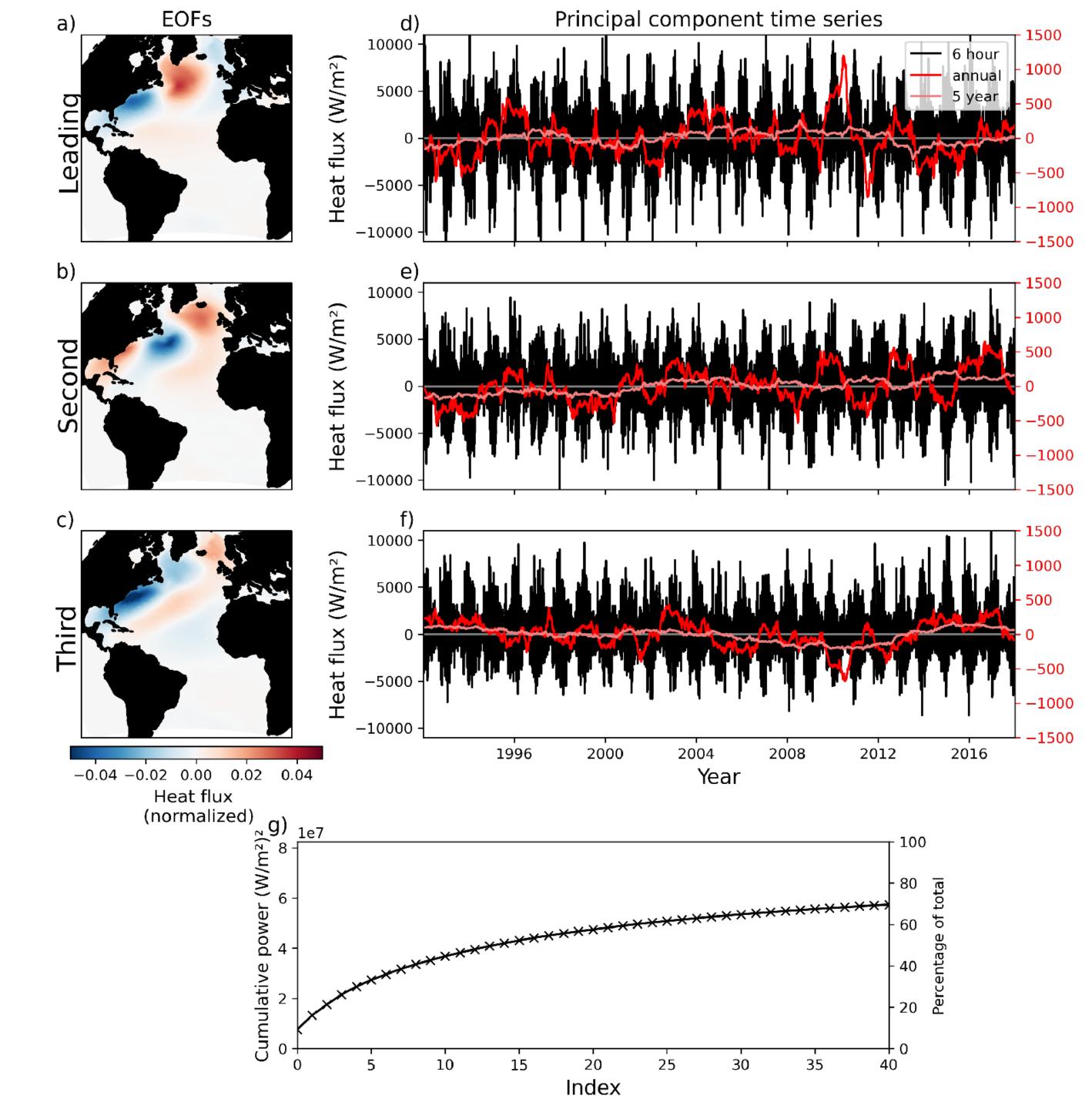
Dan Amrhein, Dafydd Stephenson, and LuAnne Thompson: A dynamics-weighted principal components analysis of dominant atmospheric drivers of ocean variability with an application to the North Atlantic subpolar gyre. *Journal of Climate*, 2024





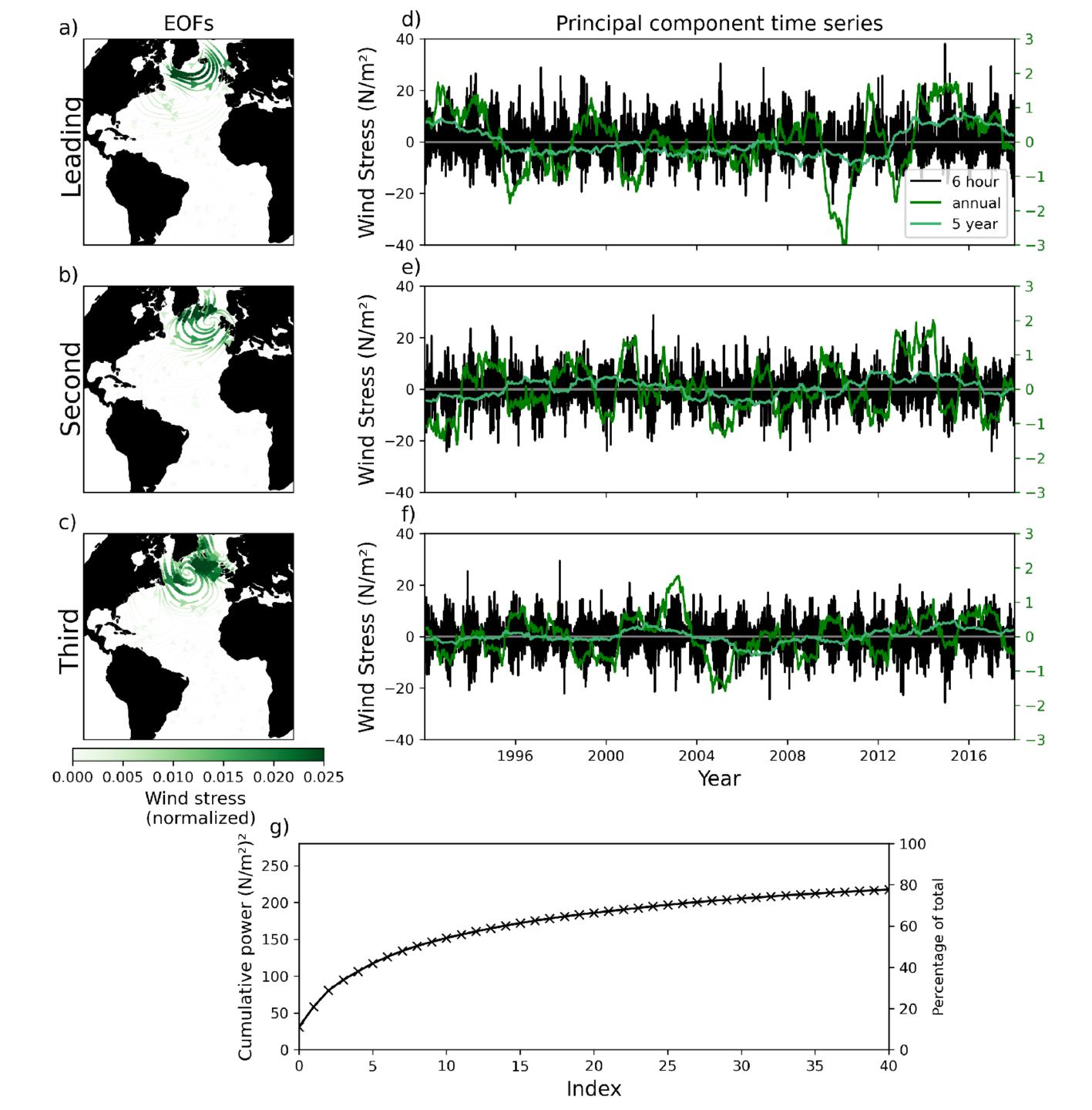
Leading **EOFs** show that a diversity of patterns contribute to North Atlantic heat fluxes.

Although the seasonal cycle has been removed, there is a notable seasonal cycle in variance.



Leading **EOFs** show that a diversity of patterns contribute to North Atlantic heat fluxes.

For wind stress, there is a (less) notable seasonal cycle in variance.



# Leading stochastic optimals reveal that local heat fluxes are a leading driver of annually-averaged SPG heat content.

Note the strong seasonality in sensitivity ("Stommel's demon").

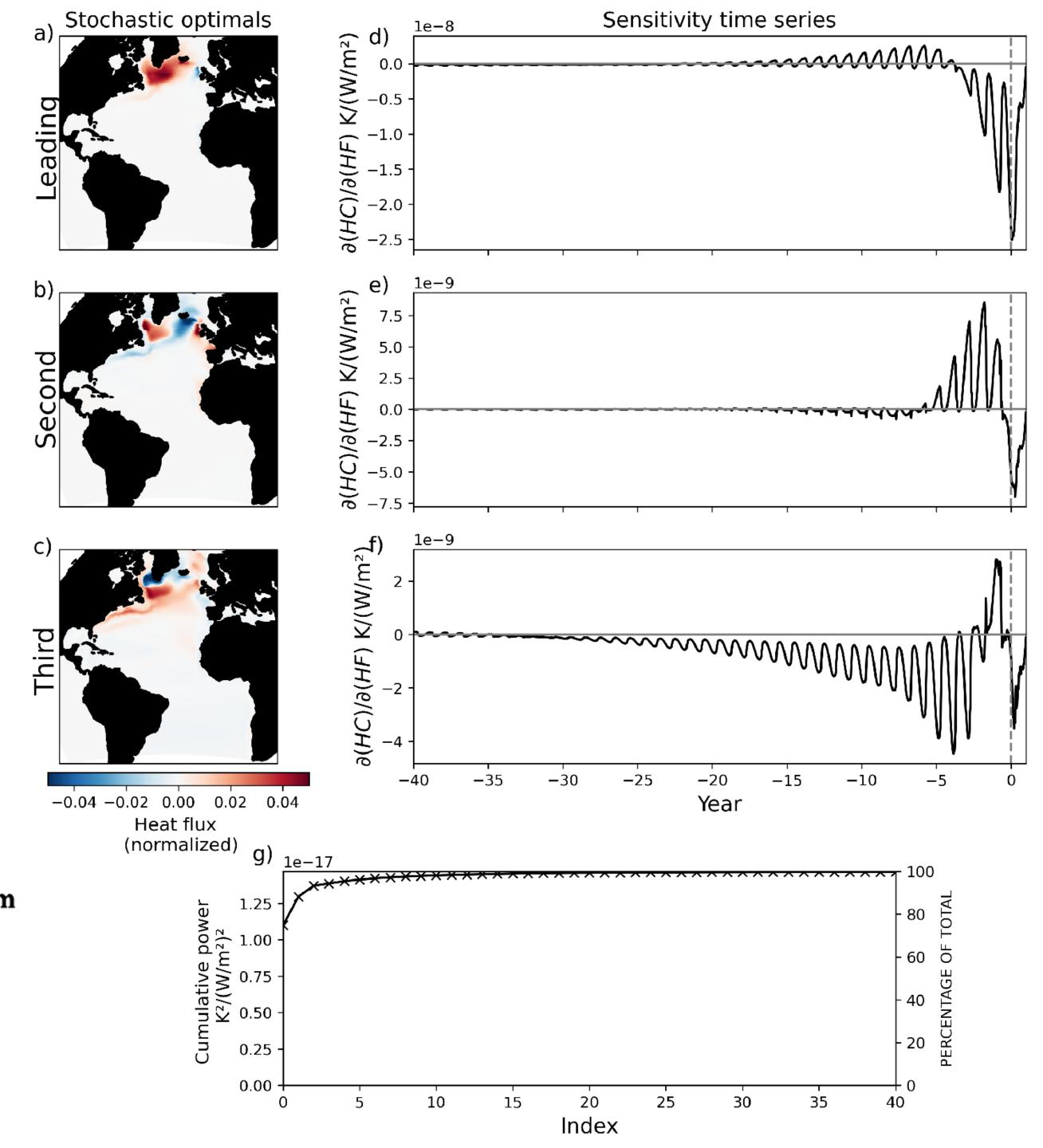
Proc. Natl. Acad. Sci. USA Vol. 76, No. 7, pp. 3051–3055, July 1979 Geophysics

#### Determination of water mass properties of water pumped down from the Ekman layer to the geostrophic flow below

(subtropical gyre/Ekman pumping/water mass origins)

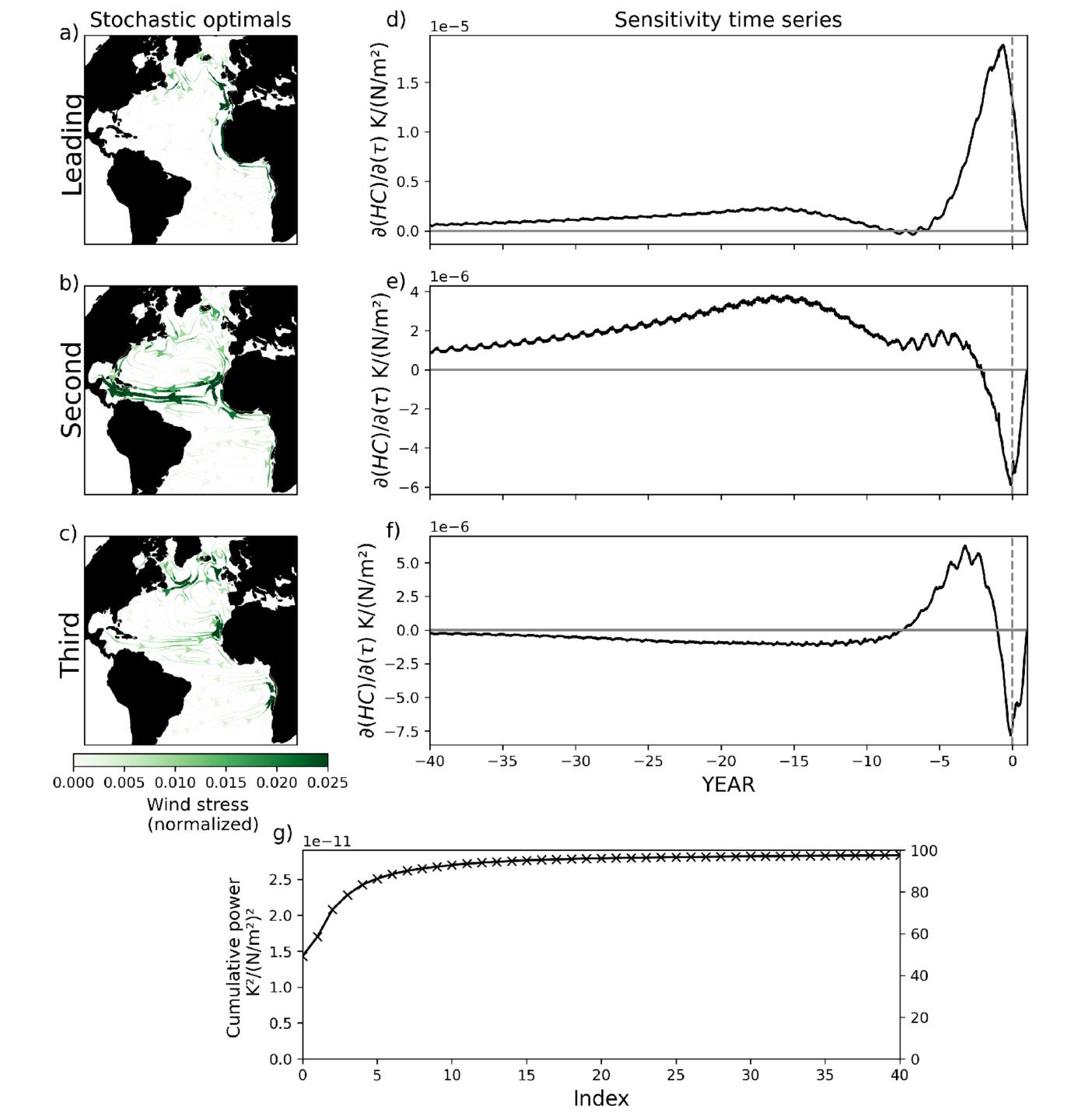
HENRY STOMMEL

Woods Hole Oceanographic Institution, Woods Hole, Massachusetts 02543

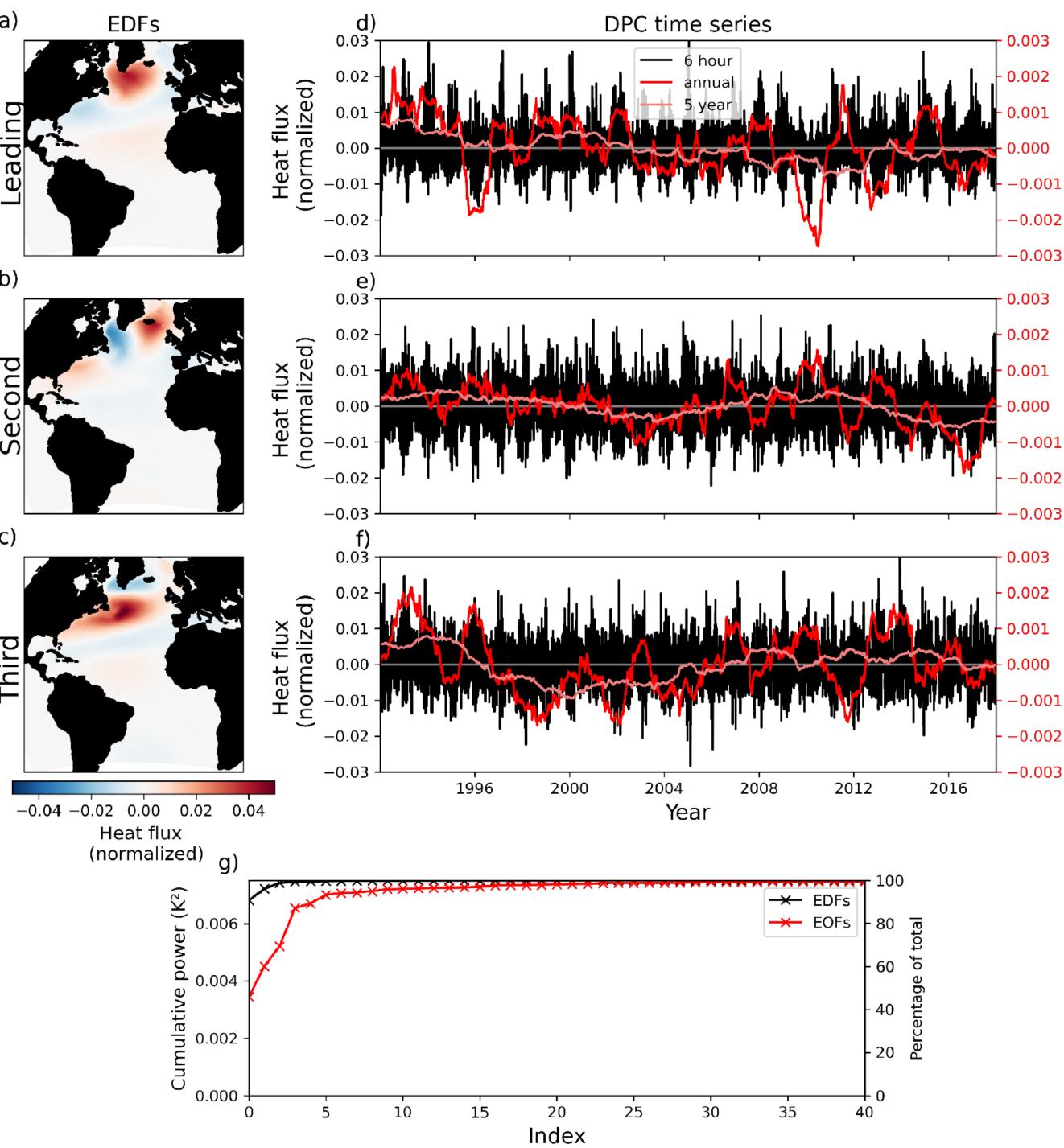


# Leading **stochastic optimals** reveal roles for **remote wind stress forcing**,

particularly exciting equatorial and Kelvin waves that eventually heave isopycnals in the SPG (cf. Jones et al. 2018)



Dynamics-weighted principal components collapses the space of potential forcings onto a pattern with a high pattern correlation (~94%) with the canonical North Atlantic Oscillation



Dynamics-weighted principal components collapses the space of potential forcings onto a pattern with a high pattern correlation (~94%) with the canonical North Atlantic Oscillation

C)

Third

